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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending ,

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation	THOMAS B. FORDHAM FOUNDATION
Number and street (or P O box number if mail is not delivered to street address)	1016 16TH STREET NW
Room/suite	8TH FLOOR
City or town	WASHINGTON
State	DC
ZIP code	20036

A Employer identification number: 31-6032844

B Telephone number (see the instructions): (202) 223-5452

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☒

H Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 44,795,429.

J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)

Part I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)	265,892.			
	2 Ck ► ☐ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	841.	841.		
	4 Dividends and interest from securities	708,090.	708,090.		
	5a Gross rents				
	b Net rental income or (loss)		L-6a Stmt		
	6a Net gain/(loss) from sale of assets not on line 10	-2,799,483.			
	b Gross sales price for all assets on line 6a 24,846,852.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule) See Line 11 Stmt	123,095.	0.		
	12 **Total.** Add lines 1 through 11	-1,701,565.	708,931.		
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	396,300.	10,005.		386,295.
	14 Other employee salaries and wages	566,048.			566,048.
	15 Pension plans, employee benefits	193,704.			193,704.
	16a Legal fees (attach schedule) L-16a Stmt	154,149.	38,537.		115,611.
	b Accounting fees (attach sch) L-16b Stmt	21,380.	3,207.		18,173.
	c Other prof fees (attach sch) L-16c Stmt	328,514.			323,514.
	17 Interest				
	18 Taxes (attach schedule)(see instr)				
	19 Depreciation (attach sch) and depletion	178,695.			
	20 Occupancy	516,105.			516,105.
	21 Travel, conferences, and meetings	49,797.			49,797.
	22 Printing and publications	22,439.			22,439.
	23 Other expenses (attach schedule) See Line 23 Stmt	201,937.	26,344.		175,593.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	2,629,068.	78,093.		2,367,279.
	25 Contributions, gifts, grants paid	275,000.			275,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	2,904,068.	78,093.		2,642,279.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-4,605,633.			
	b **Net investment income** (if negative, enter -0-)		630,838.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	157,482.	334,504.	334,504.
	3 Accounts receivable ► 138,061.			
	Less: allowance for doubtful accounts ►	163,787.	138,061.	138,061.
	4 Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less: allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	50,510.	14,695.	14,695.
	10a Investments – U.S. and state government obligations (attach schedule) L-10a Stmt	281,395.	8,415.	8,415.
	b Investments – corporate stock (attach schedule) L-10b Stmt	21,195,135.	15,625,484.	15,625,484.
	c Investments – corporate bonds (attach schedule) L-10c Stmt		6,981,231.	6,981,231.
	11 Investments – land, buildings, and equipment: basis ►			
	Less. accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) L-13 Stmt	12,500,775.	15,709,334.	15,709,334.
	14 Land, buildings, and equipment: basis ► 6,434,606.			
	Less. accumulated depreciation (attach schedule) ~~L-14 Stmt~~ ► 450,901.	6,162,401.	5,983,705.	5,983,705.
	15 Other assets (describe ►)			
	16 **Total assets** (to be completed by all filers – see instructions. Also, see page 1, item I)	40,511,485.	44,795,429.	44,795,429.
LIABILITIES	17 Accounts payable and accrued expenses	20,884.	43,824.	
	18 Grants payable	0.		
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ► L-22 Stmt)	8,892,279.	7,292,268.	
	23 **Total liabilities** (add lines 17 through 22)	8,913,163.	7,336,092.	
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	31,598,322.	37,459,337.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see the instructions)	31,598,322.	37,459,337.	
	31 **Total liabilities and net assets/fund balances** (see the instructions)	40,511,485.	44,795,429.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	31,598,322.
2	Enter amount from Part I, line 27a	2	-4,605,633.
3	Other increases not included in line 2 (itemize) ► See Other Increases Stmt	3	10,466,648.
4	Add lines 1, 2, and 3	4	37,459,337.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	37,459,337.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	PUBLICALLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	24,846,852.		27,646,335.	-2,799,483.
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a				-2,799,483.
b				
c				
d				
e				

2	Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-2,799,483.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	3,143,117.	43,581,123.	0.072121
2007	3,059,643.	41,612,290.	0.073527
2006	2,725,618.	46,618,823.	0.058466
2005	1,851,209.	43,000,867.	0.043051
2004	2,061,136.	40,960,016.	0.050321

2	**Total** of line 1, column (d)	2	0.297486
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.059497
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	34,803,866.
5	Multiply line 4 by line 3	5	2,070,726.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	6,308.
7	Add lines 5 and 6	7	2,077,034.
8	Enter qualifying distributions from Part XII, line 4	8	2,642,279.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1. Date of ruling or determination letter. _____ (attach copy of letter if necessary - see instr.)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☒ and enter 1% of Part I, line 27b * See note on next page.	1	* 6,308.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	6,308.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	* 6,308.
6	Credits/Payments		
a	2009 estimated tax pmts and 2008 overpayment credited to 2009 — 6a		
b	Exempt foreign organizations – tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	* 0.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	0.
11	Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ► **Refunded** ►	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	X	
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
	If the answer is 'Yes' to ***1a or 1b****, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► $ _____ (2) On foundation managers ► $ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see the instructions) ► _____ See States Registered In			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *Continued*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► WWW.EDEXCELLENCE.NET

14 The books are in care of ► MARVIN HARDEN Telephone no ► (202) 223-5452

Located at ► 1016 16TH STREET NW, 8TH FLOOR WASHINGTON, DC ZIP + 4 ► 20036

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? **(Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see the instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__ .			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No			
b	If 'Yes,' did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*	3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	[X] Yes [ ] No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	[ ] Yes [X] No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	[X] Yes [ ] No		
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	[ ] Yes [X] No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	[ ] Yes [X] No		
b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	X
Organizations relying on a current notice regarding disaster assistance check here ► [ ]			
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	[ ] Yes [ ] No		
If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	[ ] Yes [X] No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If 'Yes' to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	[ ] Yes [X] No		
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHESTER E. FINN, JR. 1016 16TH STREET NW, 8TH FLOOR WASHINGTON DC 20036	PRESIDENT AND TRUSTEE 20.00	100,000.	12,000.	0.
ERIC OSBERG 1016 16TH STREET NW, 8TH FLOOR WASHINGTON DC 20036	VICE PRES. AND TREASURER 20.00	81,875.	9,225.	0.
MICHAEL PETRILLI 1016 16TH STREET NW, 8TH FLOOR WASHINGTON DC 20036	VICE PRES. - NATIONAL P 20.00	81,875.	9,225.	0.
See Information about Officers, Directors, Trustees, Etc.		92,875.	9,225.	0.

2 Compensation of five highest-paid employees (other than those included on line 1– see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KATHRYN MULLEN UPTON 1016 16TH STREET NW, 8TH FLOOR WASHINGTON DC 20036	DIRECTOR OF SPONSORSHIP 40.00	96,500.	11,280.	0.
AMBER WINKLER 1016 16TH STREET NW, 8TH FLOOR WASHINGTON DC 20036	RESEARCH DIRECTOR 20.00	63,750.	7,200.	0.
EMMY PARTIN 1016 16TH STREET, NW, 8TH FLOOR WASHINGTON DC 20036	WRITER AND RESEARCHER 32.00	51,200.	5,856.	0.
0				
0				

Total number of other employees paid over $50,000 ► 3

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 **Five highest-paid independent contractors for professional services — (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
PORTER, WRIGHT, MORRIS & ARTHUR 1919 PENNSYLVANIA AVENUE, NW, STE 500 WASHINGTON DC 20006	LEGAL SERVICES	83,633.
REED SMITH LLP 1301 K STREET, NW, SUITE 1100 EAST TOWER WASHINGTON DC 20005	LEGAL SERVICES	65,157.
FARKAS DUFFETT RESEARCH GROUP 6 EAST 39TH STREET, 9TH FLOOR NEW YORK NY 10016	CONSULTING SERVICES	98,820.
MICHAEL LAFFERTY P.O. BOX 245 GRANVILLE OH 43023	CONSULTING SERVICES	52,388.
Total number of others receiving over $50,000 for professional services ►		None

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 OHIO COMMUNITY SCHOOL SPONSORSHIP - OVERSEEING THE MANAGEMENT AND ACADEMIC PERFORMANCE OF COMMUNITY SCHOOLS IN OHIO AS A STATE-APPROVED SPONSORING ORGANIZATION.	485,480.
2 NATIONAL EDUCATION REFORM EFFORTS - DEVELOPING AND MANAGING THE FORDHAM FELLOWS AND FORDHAM SCHOLARS PROGRAMS. PROVIDING GRANTS TO THE NATIONAL QUARTERLY, EDUCATION NEXT, AND NONPROFITS SUCH AS THE NATIONAL ALLIANCE FOR PUBLIC CHARTER SCHOOLS.	745,404.
3 OHIO EDUCATION REFORM EFFORTS - DEVELOPING STUDIES ON STANDARDS AND TESTING, SCHOOL FUNDING, AND VARIOUS EDUCATION REFORMS IN OHIO.	163,230.
4 OHIO RESEARCH AND POLICY: ENGAGING IN SOLID RESEARCH AND PROVOCATIVE ANALYSIS, INFORMING POLICY MAKERS AT EVERY LEVEL ABOUT PROMISING SOLUTIONS TO PRESSING EDUCATION PROBLEMS IN OHIO.	571,318.

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2 NONE	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	35,162,923.
b	Average of monthly cash balances	1b	170,951.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	35,333,874.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	35,333,874.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	530,008.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	34,803,866.
6	**Minimum investment return.** Enter 5% of line 5	6	1,740,193.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	1,740,193.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	6,308.		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	6,308.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	1,733,885.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	1,733,885.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	1,733,885.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	2,642,279.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,642,279.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	6,308.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,635,971.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,733,885.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			299.	
b Total for prior years: 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2009:				
a From 2004 0.				
b From 2005 0.				
c From 2006 0.				
d From 2007 0.				
e From 2008 2,049,681.				
f **Total** of lines 3a through e	2,049,681.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► $ 2,642,279.				
a Applied to 2008, but not more than line 2a			299.	
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2009 distributable amount				
e Remaining amount distributed out of corpus	2,641,980.			
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	1,733,885.			1,733,885.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	2,957,776.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	2,957,776.			
10 Analysis of line 9:				
a Excess from 2005 0.				
b Excess from 2006 0.				
c Excess from 2007 0.				
d Excess from 2008 315,796.				
e Excess from 2009 2,641,980.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed.

MR. CHESTER E. FINN, JR., PRESIDENT, THOMAS B. FORDHAM FOUNDATION
1016 16TH STREET NW, 8TH FLOOR
WASHINGTON DC 20036 (202) 223-5452

b The form in which applications should be submitted and information and materials they should include:

A LETTER STATING THE PURPOSE FOR WHICH THE GRANT WILL BE USED AND A COPY OF AN EXEMPTION LETTER.

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED				275,000.
Total			► **3a**	275,000.
b *Approved for future payment*				
NONE				
Total			► **3b**	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) Related or exempt function income (see the instructions)
1 Program service revenue:					
a SPONSORSHIP INCOME					116,630.
b PUBLICATION SALES					1,465.
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	841.	
4 Dividends and interest from securities			14	708,090.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-2,799,483.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				-2,090,552.	118,095.
13 **Total.** Add line 12, columns (b), (d), and (e)				13	-1,972,457.

(See worksheet in the instructions for line 13 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See the instructions)
1a	SPONSORSHIP INCOME RECEIVED FROM CHARTER SCHOOLS.
1b	SALES OF PUBLICATIONS RELATED TO THE EXEMPT PURPOSE OF THE ORGANIZATION.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a (1)		X
	(2) Other assets	1a (2)		X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b (1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b (2)		X
	(3) Rental of facilities, equipment, or other assets	1b (3)		X
	(4) Reimbursement arrangements	1b (4)		X
	(5) Loans or loan guarantees	1b (5)		X
	(6) Performance of services or membership or fundraising solicitations	1b (6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

SIGN HERE

▶ Signature of officer or trustee — Date 11/10/10 — ▶ Title President

Paid Preparer's Use Only

Preparer's signature ▶ — Date 11/10/10 — Check if self-employed ▶ ☒ — Preparer's Identifying number (See **Signature** in the instrs)

Firm's name (or yours if self-employed), address, and ZIP code ▶ Lane & Company, CPAs
1920 N Street NW, Ste 320
Washington DC 20036

EIN ▶

Phone no ▶ (202) 463-6500

Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, 990-EZ, or 990-PF

OMB No 1545-0047

2009

Name of the organization	Employer identification number
THOMAS B. FORDHAM FOUNDATION	31-6032844

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)(____) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions.

General Rule –

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor (Complete Parts I and II.)

Special Rules –

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (**1**) $5,000 or (**2**) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not aggregate to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of $5,000 or more during the year. ► $_____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization: THOMAS B. FORDHAM FOUNDATION

Employer identification number: 31-6032844

Part I Contributors (see instructions.)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	THOMAS B. FORDHAM INSTITUTE 1016 16TH STREET, NEW, 8TH FLOOR WASHINGTON DC 20036	$ 259,013.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

Additional Information For Tax Return

THOMAS B. FORDHAM FOUNDATION 31-6032844

Form 990-PF, p4: Line 9 Tax Due

The Thomas B. Fordham Foundation is under a 60-month termination period beginning January 1, 2007. During this period, the Foundation will not be required to pay any excise taxes unless it fails to qualify as a public charity at the end of the 60-month period. Therefore, the amount of tax due for the 2009 return is zero. We received a determination letter from the IRS stating that the Thomas B. Fordham Foundation "will be treated as a public charity described in section 509(a)(3) of the Code for an advance ruling period of 60 months beginning January 1, 2007." A copy of the letter received is attached following this note.

Form 990-PF, p6: Line 5b Yes

The Thomas B. Fordham Foundation is under a 60-month termination period beginning January 1, 2007. During this period, the Foundation is authorized to avoid payment of any excise taxes, including those related to lobbying. Federal Form 990-PF, Part VII-B requires the Foundation to file a form 4720 if any questions are answered "Yes" and the Foundation does not qualify under any exceptions. The Foundation responded "Yes" to Form 990-PF, Part VII-B, Questions 5a(1) and 5a(3), but will not pay the excise taxes related to lobbying because it is not required to during its advance ruling period. Lobbying expense s were $27,757, which is less than 1% of the Foundation's expenses. This is not a substantial part of the Foundation's activities.

THE THOMAS B FORDHAM FOUNDATION

SUPPORTING ORGANIZATION

We have determined that you are a Type 1 supporting organziation under section 509(a)(3). A Type 1 is operated, supervised, or controlled by, a Type 2 is supervied or controlled in connection with, and a Type 3 is operated in connection with one or more publicly supported organizations.

Form 990-PF Part I, Line 6a	Net Gain or Loss From Sale of Assets	2009

Name	Employer Identification Number
THOMAS B. FORDHAM FOUNDATION	31-6032844

Asset Information:

Description of Property: PUBLICALLY TRADED SECURITIES
Date Acquired: Various — How Acquired. Purchased
Date Sold Various — Name of Buyer V
Sales Price: 24,846,852. — Cost or other basis (do not reduce by depreciation) 27,646,335.
Sales Expense — Valuation Method
Total Gain (Loss): -2,799,483. — Accumulation Depreciation

Description of Property
Date Acquired — How Acquired
Date Sold — Name of Buyer
Sales Price — Cost or other basis (do not reduce by depreciation)
Sales Expense — Valuation Method
Total Gain (Loss) — Accumulation Depreciation

Description of Property:
Date Acquired: — How Acquired:
Date Sold — Name of Buyer
Sales Price — Cost or other basis (do not reduce by depreciation)
Sales Expense: — Valuation Method
Total Gain (Loss) — Accumulation Depreciation.

Description of Property
Date Acquired — How Acquired
Date Sold — Name of Buyer
Sales Price — Cost or other basis (do not reduce by depreciation)
Sales Expense — Valuation Method
Total Gain (Loss) — Accumulation Depreciation

Description of Property
Date Acquired. — How Acquired.
Date Sold — Name of Buyer
Sales Price — Cost or other basis (do not reduce by depreciation)
Sales Expense — Valuation Method.
Total Gain (Loss): — Accumulation Depreciation

Description of Property
Date Acquired — How Acquired:
Date Sold: — Name of Buyer
Sales Price: — Cost or other basis (do not reduce by depreciation)
Sales Expense — Valuation Method
Total Gain (Loss): — Accumulation Depreciation

Description of Property.
Date Acquired — How Acquired.
Date Sold — Name of Buyer
Sales Price: — Cost or other basis (do not reduce by depreciation)
Sales Expense: — Valuation Method
Total Gain (Loss) — Accumulation Depreciation:

Description of Property:
Date Acquired — How Acquired.
Date Sold. — Name of Buyer
Sales Price: — Cost or other basis (do not reduce by depreciation)
Sales Expense — Valuation Method
Total Gain (Loss): — Accumulation Depreciation

Form 990-PF, Page 1, Part I, Line 11
Line 11 Stmt

Other income:	Rev/Exp Book	Net Inv Inc	Adj Net Inc
CONTRACT FEES	116,630.	0.	
PUBLICATION SALES	1,465.	0.	
REFUND OF PRIOR YEAR PROFESSIONA	5,000.	0.	
Total	123,095.	0.	

Form 990-PF, Page 1, Part I, Line 23
Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Equipment Rental and Maintenance	22,741.			22,741.
Supplies	11,030.			11,030.
Brokerage Fees	26,344.	26,344.		0.
Website and IT	33,098.			33,098.
Postage and Shipping	21,944.			21,944.
Temps/Interns	34,900.			34,900.
Miscellaneous	17,102.			17,102.
Insurance	14,172.			14,172.
Telephone	20,606.			20,606.
Total	201,937.	26,344.		175,593.

Form 990-PF, Page 2, Part III, Line 3
Other Increases Stmt

UNREALIZED GAIN ON INVESTMENTS	8,866,637.
GAIN ON INTEREST RATE SWAP	1,600,011.
Total	10,466,648.

Form 990-PF, Page 4, Part VII-A, Line 8a
States Registered In

DC - District of Columbia
OH - Ohio

Form 990-PF, Page 6, Part VIII, Line 1
Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person [X] Business [ ] TERENCE RYAN 1016 16TH STREET NW, 8TH FLOOR WASHINGTON DC 20036	VICE PRES - OHIO 20.00	81,875.	9,225.	0.

Form 990-PF, Page 6, Part VIII, Line 1 Continued

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation **(If not paid, enter -0-)**	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person [X] Business [ ] THOMAS A. HOLTON 1016 16TH STREET NW, 8TH FLOOR WASHINGTON DC 20036	SECRETARY AND TRU 1.00	1,500.	0.	0.
Person [X] Business [ ] MICHAEL W. KELLY 1016 16TH STREET NW, 8TH FLOOR WASHINGTON DC 20036	TRUSTEE 1.00	1,500.	0.	0.
Person [X] Business [ ] CRAIG KENNEDY 1016 16TH STREET NW, 8TH FLOOR WASHINGTON DC 20036	TRUSTEE 1.00	1,500.	0.	0.
Person [X] Business [ ] BRUNO V. MANNO 1016 16TH STREET NW, 8TH FLOOR WASHINGTON DC 20036	TRUSTEE 1.00	1,500.	0.	0.
Person [X] Business [ ] ROD PAIGE 1016 16TH STREET NW, 8TH FLOOR WASHINGTON DC 20036	TRUSTEE 1.00	1,500.	0.	0.
Person [X] Business [ ] DAVID PONITZ 1016 16TH STREET NW, 8TH FLOOR WASHINGTON DC 20036	CHAIRMAN AND TRUS 1.00	1,500.	0.	0.
Person [X] Business [ ] DIANE RAVITCH 1016 16TH STREET NW, 8TH FLOOR WASHINGTON DC 20036	TRUSTEE 1.00	500.	0.	0.
Person [X] Business [ ] DAVID DRISCOLL 23 CRANMORE LANE MELROSE MA 02176	TRUSTEE 1.00	1,500.	0.	0.
Total		92,875.	9,225.	0.

Form 990-PF, Page 1, Part I

Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PORTER WRIGHT MORRIS	LEGAL SERVICES	83,634.	20,908.		
REED SMITH, LLP	LEGAL SERVICES	65,157.	16,289.		
ARENT FOX	LEGAL SERVICES	5,358.	1,340.		

Form 990-PF, Page 1, Part I Continued
Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Total		154,149.	38,537.		

Form 990-PF, Page 1, Part I
Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LANE AND COMPANY	AUDIT AND TAX	21,380.	3,207.		
Total		21,380.	3,207.		

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LAURA BORNFREUND	FORDHAM FELLOWSHIPS	14,665.			
CATHERINE CULLEN	FORDHAM FELLOWSHIPS	14,665.			
FARKAS DUFFET RESEAR	CONSULTING SERVICES	98,820.			
JENNIFER FRANCO	CONSULTING SERVICES	200.			
PAUL HILL	CONSULTING SERVICES	5,000.			
BEN HOFFMAN	FORDHAM FELLOWSHIPS	14,665.			
NORA KERN	FORDHAM FELLOWSHIPS	14,665.			
MICHAEL LAFFERTY	CONSULTING SERVICES	52,388.			
DAVID ALLEN POWELL	FORDHAM FELLOWSHIPS	14,665.			
KAREN SEABURY	CONSULTING SERVICES	500.			
THEODORE WALLACE	CONSULTING SERVICES	2,850.			
DS GREEN INTERACTIVE	CONSULTING SERVICES	630.			
NEWS GENERATION, INC.	CONSULTING SERVICES	6,600.			
NORTHWEST EVALUATION	CONSULTING SERVICES	42,675.			
THOMAS B FORDHAM IN	CONSULTING SERVICES	40,711.			
TOTAL EDUCATION SOLU	CONSULTING SERVICES	2,910.			
COMPUPAY	PAYROLL FEES	1,905.			
Total		328,514.			

Form 990-PF, Page 2, Part II, Line 10a
L-10a Stmt

Line 10a - Investments - US and State Government Obligations:	End of Year State and Local Obligations Book Value	End of Year State and Local Obligations FMV	End of Year US Government Obligations Book Value	End of Year US Government Obligations FMV
SCHWAB GOVERNEMENT MONEY FUND			8,415.	8,415.

Form 990-PF, Page 2, Part II, Line 10a *Continued*
L-10a Stmt

Line 10a - Investments - US and State Government Obligations:	End of Year State and Local Obligations Book Value	End of Year State and Local Obligations FMV	End of Year US Government Obligations Book Value	End of Year US Government Obligations FMV
Total			8,415.	8,415.

Form 990-PF, Page 2, Part II, Line 10b
L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year Book Value	End of Year Fair Market Value
EUROPACIFIC GROWTH FUND - CLASS A	6,226,362.	6,226,362.
DFA U.S. SMALL CAP VALUE	1,399,916.	1,399,916.
ISHARES TR RUSSELL 2000	1,896,428.	1,896,428.
VANGUARD INSTL INDEX FUND	4,667,824.	4,667,824.
VANGUARD MID CAP INDEX	1,434,954.	1,434,954.
Total	15,625,484.	15,625,484.

Form 990-PF, Page 2, Part II, Line 10c
L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year Book Value	End of Year Fair Market Value
LOOMIS SAYLES BOND CLASS I	5,349,613.	5,349,613.
PIMCO TOTAL RETURN FUND	1,631,618.	1,631,618.
Total	6,981,231.	6,981,231.

Form 990-PF, Page 2, Part II, Line 13
L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	End of Year Fair Market Value
AMBERBROOK V	843,000.	843,000.
QUELLOS REAL ASSETS II, LP	1,046,161.	1,046,161.
COMMON SENSE OFFSHORE, LTD.	2,612,599.	2,612,599.
PRIVATE ADVISORS STABLE VALUE FUND, LTD.	3,457,521.	3,457,521.
METROPOLITAN REAL ESTATE PARTNERS III	1,023,186.	1,023,186.
NORTHGATE PRIVATE EQUITY PARTNERS II	612,042.	612,042.
NORTHGATE VENTURE PARTNERS III	298,892.	298,892.
NORTHGATE IV, L.P.	207,603.	207,603.
NEWLIN ENERGY PARTNERS II	559,477.	559,477.
LIQUID REALTY PARTNERS IV	363,272.	363,272.
LIQUID REALTY PARTNERS IV TAX EXEMPT AIV	242,181.	242,181.
COMMONFUND CAPITAL NATURAL RESOURCES PARTNERS VIII, LP	38,636.	38,636.

Form 990-PF, Page 2, Part II, Line 13 Continued
L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	End of Year Fair Market Value
VENTURE INVEST ASSOCIATES ENERGY, L.P.	274,367.	274,367.
METROPOLITAN REAL ESTATE PARTNERS GLOBAL II	243,910.	243,910.
COMMON SENSE LONG-BIASED OFFSHORE, LTD.	2,756,015.	2,756,015.
SIGULER GUFF AND COMPANY, LLC	1,130,472.	1,130,472.
Total	15,709,334.	15,709,334.

Form 990-PF, Page 2, Part II, Line 14
L-14 Stmt

Line 14b - Description of Land, Buildings, and Equipment	(a) Cost/Other Basis	(b) Accumulated Depreciation	(c) Book Value
FURNITURE, FIXTURES & EQUIPMENTS	122,021.	99,146.	22,875.
BUILDING AND IMPROVEMENTS	5,988,306.	328,022.	5,660,284.
BOND ISSUANCE COSTS	324,279.	23,733.	300,546.
Total	6,434,606.	450,901.	5,983,705.

Form 990-PF, Page 2, Part II, Line 22
Other Liab Stmt

Line 22 - Other Liabilities:	Beginning Year Book Value	Ending Year Book Value
BONDS PAYABLE	6,300,000.	6,300,000.
OBLIGATION DUE TO INTEREST RATE SWAP	2,592,279.	992,268.
Total	8,892,279.	7,292,268.

Thomas B Fordham Foundation
Grants and Contributions Paid in 2009
2009 Form 990-PF, Page 11, Part XV, 3a

EIN: 31-6032844

Date	Recipient Name	Recipient Address	IRS Tax Status	Any Relation to Foundation	Memo	Amount
02/10/2009	Los Angeles Unified School District	333 S Beaudry Ave, 24th Floor Los Angeles, CA 90017	501(c)(3)	None	Declined honorarium, donation requested by Roy Romer	1,000 00
02/17/2009	Ohio Foundation of Independent Colleges	21 East State St Ste 1110 Columbus, OH 43215-4228	501(c)(3)	None	Charitable contribution	2,000 00
02/25/2009	KIPP Central Ohio	1406 Myrtle Avenue Columbus, OH 43211	501(c)(3)	None	One-time support grant	25,000 00
02/25/2009	Columbus Collegiate Academy	28 E 7th Avenue Columbus, OH 43201	501(c)(3)	None	One-time support grant	25,000 00
02/25/2009	Common Core	1016 16th St NW, 7th Floor Washington, DC 20036	501(c)(3)	None	Fordham grant payment	50,000 00
02/25/2009	1099 - Nadler, Daniel	83 Brattle St #604 Cambridge, MA 02138	501(c)(3)	Individual	Fordham Scholar, Payment 2 of 3	6,250 00
02/25/2009	1099 - Gordon, Nora	1228 Fort Stockton Dr San Diego, CA 92103	501(c)(3)	Individual	Fordham Scholar, Payment 2 of 3	6,250 00
06/08/2009	School Choice Ohio	88 East Broad Street, Suite 640 Columbus, OH 43215	501(c)(3)	None	One-time grant following June 4, 2009 board meeting	10,000 00
07/13/2009	Philanthropy Roundtable	1150 17th St NW Ste 503 Washignton, DC 20036	501(c)(3)	None	grant - $5000 for operating support and $5000 for Alliance for Charitable Reform	10,000 00
08/27/2009	The Peter Ross Fund	1414 S 24th St Arlington, VA 22202	501(c)(3)	None	contribution to Peter Ross Fund	100 00
08/31/2009	Thomas B Fordham Institute	1016 16th St, NW 8th Floor Washington, DC 20036	501(c)(3)	None	Cost Share - Aug 2009 grant to Peter Ross Fund	-50 00
09/03/2009	Dayton Foundation	500 Kettering Tower Dayton, OH 45423	501(c)(3)	None	grant for Dayton Air Camp	5,000 00
09/09/2009	Omega School	1821 Emerson Avenue Dayton, OH 45406	501(c)(3)	None	Return of Jun 2008 unused grant funds	-38 000 00
10/13/2009	PACE, Inc	40 South Perry Street, Ste 120 Dayton, OH 45405-4222	501(c)(3)	None	Grant to PACE following October 2009 board meeting	30,000 00
10/13/2009	PACE, Inc	40 South Perry Street, Ste 120 Dayton, OH 45405-4222	501(c)(3)	None	Grant to PACE following October 2009 board meeting	20,000 00
10/14/2009	Omega Community Development Corporation	118 Salem Avenue Dayton, OH 45406	501(c)(3)	None	Grant following Oct 2009 board mtg	25,000 00
10/14/2009	Columbus Collegiate Academy	28 E 7th Avenue Columbus, OH 43201	501(c)(3)	None	grant following Oct 2009 board mtg	25,000 00
10/15/2009	KIPP Foundation	Attn Donations 135 Main St, Ste 1700 San Francisco, CA 94105	501(c)(3)	None	donation in memory of Don Fisher	500 00
10/15/2009	Harvard University	Massachusetts Hall Cambridge, MA 02138	501(c)(3)	None	Education Next grant	25,000 00
12/08/2009	House of Bread	9 Orth Avenue PO Box 60277 Dayton, OH 45406-0277	501(c)(3)	None	Grant general support	4,000 00
12/08/2009	Seedling Foundation	P O Box 1858 Dayton, OH 45401-1858	501(c)(3)	None	Grant general support	7,000 00
12/18/2009	Alliance Community Schools	848 Schrubb Drive Kettering, OH 45429	501(c)(3)	None	Grant for ACS schools	25,000 00
12/22/2009	So Others Might Eat (S O M E)	71 O St NW Washington, DC 20001-1290	501(c)(3)	None	Grant - holidy charitable gift/donation	3,950 00
12/30/2009	Dayton Public Schools	115 S Ludlow Street Dayton, Ohio 45402	501(c)(3)	None	Grant for Ponitz Career Tech Ctr	7,000 00
						275,000 00

Form 872-B (Rev. Dec. 2004)	Department of the Treasury - Internal Revenue Service **Consent to Extend the Time to Assess Miscellaneous Excise Taxes**	In reply refer to: Taxpayer Identification Number 31-6032844

The Thomas B. Fordham Foundation, taxpayer(s)
(Name(s))

of 1701 K Street, NW, Suite 1000, Washington, DC 20006 and the
(Number, Street, City or Town, State, ZIP Code)

Commissioner of Internal Revenue consent and agree to the following:

(1) The amount of liability for MISCELLANEOUS EXCISE TAXES IRC 4940 - IRC 4945 tax, imposed on the taxpayer(s) by
(Kind)

section CHAPTER 42 of the INTERNAL REVENUE CODE due for the period January 1, 2007 ending
(Internal Revenue Code, Revenue Act, etc.)

December 31, 2015 may be assessed at any time on or before May 15, 2016
(Expiration date)

(2) The collection provisions and limitations now in effect will also apply to any tax assessed within the extended period.

(3) The taxpayer(s) may file a claim for credit or refund and the Service may credit or refund the tax within 6 months after this agreement ends.

Your Rights as a Taxpayer

You have the right to refuse to extend the period of limitations or limit this extension to a mutually agreed-upon issue(s) or mutually agreed-upon period of time. **Publication 1035, *Extending the Tax Assessment Period***, provides a more detailed explanation of your rights and the consequences of the choices you may make. If you have not already received a Publication 1035, the publication can be obtained, free of charge, from the IRS official who requested that you sign this consent or from the IRS' web site at www.irs.gov or by calling toll free at 1-800-829-3676. Signing this consent will not deprive you of any appeal rights to which you would otherwise be entitled.

YOUR SIGNATURE HERE → _____ _____
(Date signed)

I am aware that I have the right to refuse to sign this consent or to limit the extension to mutually agreed-upon issues and/or period of time as set forth in I.R.C. § 6501(c)(4)(B).

TAXPAYER'S REPRESENTATIVE

SIGN HERE → _____ _____
(Date signed)

I am aware that I have the right to refuse to sign this consent or to limit the extension to mutually agreed-upon issues and/or period of time as set forth in I.R.C. § 6501(c)(4)(B). In addition, the taxpayer(s) has been made aware of these rights.

CORPORATE NAME → The Thomas B. Fordham Foundation

CORPORATE OFFICER(S) SIGN HERE → _____ Vice President + Treasurer 10/10/07
(Title) *(Date signed)*

_____ _____
(Title) *(Date signed)*

I (we) am aware that I (we) have the right to refuse to sign this consent or to limit the extension to mutually agreed-upon issues and/or period of time as set forth in I.R.C. § 6501(c)(4)(B).

INTERNAL REVENUE SERVICE SIGNATURE AND TITLE

_____ _____
(Division Executive Name - see instructions) *(Division Executive Title - see instructions)*

BY _____ OCT 26 2007
(Authorized Official's Signature and Title - see instructions) *(Date signed)*

Form **8868**
(Rev April 2009)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

► **File a separate application for each return.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ► [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only ► [ ]

All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing *(e-file)*. Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868 For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Type or print

File by the due date for filing your return See instructions

Name of Exempt Organization	Employer identification number
THOMAS B. FORDHAM FOUNDATION	31-6032844

Number, street, and room or suite number If a P O box, see instructions
1016 16TH STREET NW, #8TH FLOOR

City, town or post office, state, and ZIP code For a foreign address, see instructions
WASHINGTON DC 20036

Check type of return to be filed (file a separate application for each return)

[ ] Form 990	[ ] Form 990-T (corporation)	[ ] Form 4720
[ ] Form 990-BL	[ ] Form 990-T (section 401(a) or 408(a) trust)	[ ] Form 5227
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 6069
[X] Form 990-PF	[ ] Form 1041-A	[ ] Form 8870

- The books are in the care of ► ERIC OSBERG

Telephone No ► (202) 223-5452 FAX No. ► (202) 223-9226

- If the organization does not have an office or place of business in the United States, check this box ► [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ► [ ] If it is for part of the group, check this box ► [ ] and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until Aug 16, 20 10, to file the exempt organization return for the organization named above
The extension is for the organization's return for
► [X] calendar year 20 09 or
► [ ] tax year beginning _____, 20 ___, and ending _____, 20 ___

2 If this tax year is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	$ 0.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$
c Balance Due. Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions. Form **8868** (Rev 4-2009)

FIFZ0501 03/11/09

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ► [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

	Name of Exempt Organization	Employer identification number
Type or print	THOMAS B. FORDHAM FOUNDATION	31-6032844
File by the extended due date for filing the return See instructions	Number, street, and room or suite number If a P O box, see instructions 1016 16TH STREET NW, #8TH FLOOR	For IRS use only
	City, town or post office, state, and ZIP code For a foreign address, see instructions WASHINGTON DC 20036	

Check type of return to be filed (File a separate application for each return)

[ ] Form 990	[X] Form 990-PF	[ ] Form 1041-A	[ ] Form 6069
[ ] Form 990-BL	[ ] Form 990-T (section 401(a) or 408(a) trust)	[ ] Form 4720	[ ] Form 8870
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 5227	

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in care of ► ERIC OSBERG
  Telephone No. ► (202) 223-5452 FAX No ► (202) 223-9226
- If the organization does not have an office or place of business in the United States, check this box ► [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ► [ ] If it is for part of the group, check this box ► [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until Nov 15, 20 10.

5 For calendar year 2009, or other tax year beginning _____, 20__, and ending _____, 20__.

6 If this tax year is for less than 12 months, check reason. [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension ADDITIONAL TIME IS NEEDED TO GATHER INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$ 0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$ 0.
c **Balance Due.** Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instrs	8c	$ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ► [signature] Title ► CAA Date ► 8/3/10