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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note: *The foundation may be able to use a copy of this return to satisfy state reporting requirements*

OMB No 1545-0052

2006

For calendar year 2006, or tax year beginning , 2006, and ending ,

G Check all that apply: Initial return | Final return | Amended return | Address change | Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions

Name of foundation	THOMAS B. FORDHAM FOUNDATION
Number and street (or P O box number if mail is not delivered to street address)	1701 K STREET, NW
Room/suite	1000
City or town	WASHINGTON
State	DC
ZIP code	20006

A Employer identification number	31-6032844
B Telephone number (see instructions)	(202) 223-5452
C If exemption application is pending, check here ►	
D 1 Foreign organizations, check here ►	
2 Foreign organizations meeting the 85% test, check here and attach computation ►	
E If private foundation status was terminated under section 507(b)(1)(A), check here ►	
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ►	

H Check type of organization: [X] Section 501(c)(3) exempt private foundation; [ ] Section 4947(a)(1) nonexempt charitable trust; [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 49,908,535.

J Accounting method: [ ] Cash [X] Accrual [ ] Other (specify) _____ (Part I, column (d) must be on cash basis)

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Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)	619,622.			
	2 Ck ► [X] if the foundn is **not** req to att Sch B				
	3 Interest on savings and temporary cash investments	4,488.	4,488.		
	4 Dividends and interest from securities	1,794,749.	1,794,749.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	954,325.			
	b Gross sales price for all assets on line 6a 22,119,288.				
	7 Capital gain net income (from Part IV, line 2)		954,325.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule) CONTRACT FEES	244,034.	0.		
	12 **Total.** Add lines 1 through 11	3,617,218.	2,753,562.		
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	433,407.	9,864.		423,543.
	14 Other employee salaries and wages	265,209.			250,976.
	15 Pension plans, employee benefits	186,572.	1,383.		185,189.
	16a Legal fees (attach schedule) L-16a Stmt	130,903.	5,889.		125,014.
	b Accounting fees (attach sch) L-16b Stmt	8,363.	1,254.		7,109.
	c Other prof fees (attach sch)	456,695.			456,695.
	17 Interest				
	18 Taxes (attach schedule) EXCISE TAXES	66,325.			0.
	19 Depreciation (attach schedule) and depletion	10,821.			
	20 Occupancy	111,156.			111,156.
	21 Travel, conferences, and meetings	89,781.			89,781.
	22 Printing and publications	51,558.			51,558.
	23 Other expenses (attach schedule) See Line 23 Stmt	238,968.	40,424.		198,544.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	2,049,758.	58,814.		1,899,565.
	25 Contributions, gifts, grants paid	798,000.			853,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	2,847,758.	58,814.		2,752,565.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	769,460.			
	b **Net investment income** (if negative, enter -0-)		2,694,748.		
	C **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions))		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing	12,761.	0.	0.
	2 Savings and temporary cash investments	93,472.	21,911.	21,911.
	3 Accounts receivable ► 376,685.			
	Less allowance for doubtful accounts ►	105,622.	376,685.	376,685.
	4 Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less. allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	13,148.	13,148.	13,148.
	10a Investments – U S and state government obligations (attach schedule) L-10a Stmt	4,737,669.	460,202.	460,202.
	b Investments – corporate stock (attach schedule) L-10b Stmt	40,266,760.	39,659,976.	39,659,976.
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment: basis ►			
	Less accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) L-13 Stmt	29,569.	9,339,557.	9,339,557.
	14 Land, buildings, and equipment basis ► 89,226.			
	Less: accumulated depreciation (attach schedule) L-14 Stmt ► 52,170.	29,845.	37,056.	37,056.
	15 Other assets (describe ►)			
	16 **Total assets** (to be completed by all filers – see instructions Also, see page 1, item I)	45,288,846.	49,908,535.	49,908,535.
LIABILITIES	17 Accounts payable and accrued expenses	27,000.	80,329.	
	18 Grants payable	105,000.	50,000.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 **Total liabilities** (add lines 17 through 22)	132,000.	130,329.	
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	45,156,846.	49,778,206.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	45,156,846.	49,778,206.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	45,288,846.	49,908,535.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	45,156,846.
2	Enter amount from Part I, line 27a	2	769,460.
3	Other increases not included in line 2 (itemize) ► UNREALIZED GAIN	3	3,851,900.
4	Add lines 1, 2, and 3	4	49,778,206.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	49,778,206.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	22,119,288.	0.	21,164,963.	954,325.
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a				954,325.
b				
c				
d				
e				

2	Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	954,325.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2005	1,851,209.	43,000,867.	0.043051
2004	2,061,136.	40,960,016.	0.050321
2003	1,657,626.	34,565,680.	0.047956
2002	2,181,186.	35,988,869.	0.060607
2001	2,336,837.	39,849,198.	0.058642

2	**Total** of line 1, column (d)	2	0.260577
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.052115
4	Enter the net value of noncharitable-use assets for 2006 from Part X, line 5	4	46,618,823.
5	Multiply line 4 by line 3	5	2,429,540.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	26,947.
7	Add lines 5 and 6	7	2,456,487.
8	Enter qualifying distributions from Part XII, line 4	8	2,752,565.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1. Date of ruling letter _____ (attach copy of ruling letter if necessary – see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☒ and enter 1% of Part I, line 27b	1	26,947.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	26,947.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	26,947.
6	Credits/Payments:		
a	2006 estimated tax pmts and 2005 overpayment credited to 2006 — 6a: 40,000.		
b	Exempt foreign organizations – tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	40,000.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	13,053.
11	Enter the amount of line 10 to be. **Credited to 2007 estimated tax** ► **Refunded** ►	11	13,053.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	X	
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► OHIO, WASHINGTON D.C.			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2006 or the taxable year beginning in 2006 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *Continued*

11a At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule. (see instructions)	11a		X
b If 'Yes', did the foundation have a binding written contract in effect on August 17, 2006, covering the interest, rents, royalties, an annuities described in the attachment for line 11a?	11b	N/A	
12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract?	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► WWW.EDEXCELLENCE.NET

14 The books are in care of ► CHESTER E. FINN, JR. Telephone no ► (202) 223-5452

Located at ► 1701 K STREET, NW, SUITE 1000, WASHINGTON, DC ZIP + 4 ► 20006

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2006?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a At the end of tax year 2006, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2006?	☐ Yes ☒ No		
If 'Yes,' list the years ► 20__, 20__, 20__, 20__			
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions.)	2b	N/A	
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__, 20__, 20__, 20__.			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2006 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2006.)*	3b	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2006?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *Continued*

5a During the year did the foundation pay or incur any amount to.				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	[X] Yes [ ] No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	[ ] Yes [X] No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	[ ] Yes [X] No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	[ ] Yes [X] No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	[ ] Yes [X] No			
b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		X
Organizations relying on a current notice regarding disaster assistance check here ► [ ]				
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	[ ] Yes [ ] No			
If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	[ ] Yes [X] No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If you answered 'Yes' to 6b, also file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	[ ] Yes [X] No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	N/A	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED				

2 Compensation of five highest-paid employees (other than those included on line 1– see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALTHEA BARNETT	DEPUTY DIR. OF SPONSORS			
1701 K STREET, NW, SUITE 1000, WASH, DC 20006	40 HOURS/WEEK	75,844.	14,457.	0.
KATHRYN MULLEN UPTON	DIRECTOR OF SPONSORSHIP			
1701 K STREET, NW, SUITE 1000, WASH, DC 20006	40 HOURS/WEEK	73,847.	8,313.	0.
Total number of other employees paid over $50,000		►		NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *Continued*

3 **Five highest-paid independent contractors for professional services -- (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
PORTER, WRIGHT, MORRIS & ARTHUR 1919 PENNSYLVANIA AVENUE, NW, STE 500, WASHINGTON, DC 20006	LEGAL SERVICES	130,903.
PUBLIC IMPACT 504 DOGWOOD DRIVE, CHAPEL HILL, NC 27516	CONSULTING SERVICES	85,000.
JM CONSULTING P.O. BOX 1354, GLOUCESTER, MA 01931	CONSULTING SERVICES	90,000.
Total number of others receiving over $50,000 for professional services ►		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 OHIO COMMUNITY SCHOOL SPONSORSHIP - SEE ATTACHED	825,738.
2 REPORTS ON PROGRESS OF EDUCATION REFORM - SEE ATTACHED	336,938.
3 TIMELY REPORTS ON EDUCATION POLICY - SEE ATTACHED	218,608.
4 POLICY ANALYSIS - SEE ATTACHED	174,122.

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2 NONE	
All other program-related investments. See instructions 3 NONE	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	47,140,960.
b	Average of monthly cash balances	1b	187,794.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	47,328,754.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	47,328,754.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	709,931.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	46,618,823.
6	**Minimum investment return.** Enter 5% of line 5	6	2,330,941.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	2,330,941.
2a	Tax on investment income for 2006 from Part VI, line 5	2a	26,947.		
b	Income tax for 2006 (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	26,947.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	2,303,994.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	2,303,994.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	2,303,994.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	2,752,565.
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,752,565.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	26,947.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,725,618.

Note: *The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.*

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2005	(c) 2005	(d) 2006
1 Distributable amount for 2006 from Part XI, line 7				2,303,994.
2 Undistributed income, if any, as of the end of 2005:				
a Enter amount for 2005 only			402,588.	
b Total for prior years: 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2006				
a From 2001 . . 0.				
b From 2002 0.				
c From 2003 0.				
d From 2004 0.				
e From 2005 0.				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2006 from Part XII, line 4 ► $ 2,752,565.				
a Applied to 2005, but not more than line 2a			402,588.	
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2006 distributable amount				2,303,994.
e Remaining amount distributed out of corpus	45,983.			
5 Excess distributions carryover applied to 2006 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	45,983.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount – see instructions		0.		
e Undistributed income for 2005. Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2006. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2007				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2001 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2007.** Subtract lines 7 and 8 from line 6a .	45,983.			
10 Analysis of line 9:				
a Excess from 2002 0.				
b Excess from 2003 0.				
c Excess from 2004 0.				
d Excess from 2005 0.				
e Excess from 2006 45,983.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2006, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2006	(b) 2005	(c) 2004	(d) 2003	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

MR. CHESTER E. FINN, JR., PRESIDENT, THOMAS B. FORDHAM FOUNDATION
1701 K STREET, NW, SUITE 1000
WASHINGTON DC 20006 (202) 223-5452

b The form in which applications should be submitted and information and materials they should include:

A LETTER STATING THE PURPOSE FOR WHICH THE GRANT WILL BE USED AND A COPY OF AN EXEMPTION LETTER.

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* SEE ATTACHED				
Total			► **3a**	
b *Approved for future payment* SEE ATTACHED				
Total			► **3b**	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) Related or exempt function income (see instructions)
1 Program service revenue					
a SPONSORSHIP INCOME					244,034.
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	4,488.	
4 Dividends and interest from securities			14	1,794,749.	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	954,325.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				2,753,562.	244,034.
13 **Total.** Add line 12, columns (b), (d), and (e)				13	2,997,596.

(See worksheet in the instructions for line 13 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)
1a	SPONSORSHIP INCOME RECEIVED FROM CHARTER SCHOOLS AND RELATED TO THE EXEMPT PURPOSE OF THE ORGANIZATION.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
(1)	Cash	1a (1)		X
(2)	Other assets	1a (2)		X
b	Other transactions		
(1)	Sales of assets to a noncharitable exempt organization	1b (1)		X
(2)	Purchases of assets from a noncharitable exempt organization	1b (2)		X
(3)	Rental of facilities, equipment, or other assets	1b (3)		X
(4)	Reimbursement arrangements	1b (4)		X
(5)	Loans or loan guarantees	1b (5)		X
(6)	Performance of services or membership or fundraising solicitations	1b (6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

SIGN HERE

► Signature of officer or trustee — Date 8/9/07 — ► Title President

Paid Preparer's Use Only

Preparer's signature ► Robert S. [illegible] — Date 8/8/07 — Check if self-employed ► ☒ — Preparer's SSN or PTIN (See **Signature** in the instrs)

Firm's name (or yours if self-employed), address, and ZIP code ► Lane & Company, CPA's
1920 N Street NW, Suite 725
Washington DC 20036

EIN ►

Phone no ► (202) 463-6500

Form 990-PF, Page 1, Part I, Line 23
Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Equipment Rental and Maintenance	9,630.			9,630.
SUPPLIES	17,405.			17,405.
Brokerage Fees	40,424.	40,424.		0.
Website and IT	39,691.			39,691.
Postage and Shipping	14,817.			14,817.
Temps/Interns	23,711.			23,711.
Miscellaneous	36,361.			36,361.
Insurance	45,334.			45,334.
Telephone	11,595.			11,595.
Total	238,968.	40,424.		198,544.

Form 990-PF, Page 1, Part I, Line 16a
L-16a Stmt

Line 16a - Legal Fees: Name of Provider	**Type of Service Provided**	**Amount Paid**
PORTER WRIGHT MORRIS & ARTHUR	LEGAL SERVICES	130,903.
Total		130,903.

Form 990-PF, Page 1, Part I, Line 16b
L-16b Stmt

Line 16b - Accounting Fees: Name of Provider	**Type of Service Provided**	**Amount Paid**
LANE AND COMPANY	AUDIT AND TAX	8,363.
Total		8,363.

Form 990-PF, Page 2, Part II, Line 10a
L-10a Stmt

Line 10a - Investments - US and State Government Obligations:	**End of Year Book Value**	**End of Year Fair Market Value**
GOVERNMENT OBLIGATIONS FD CAPITAL SH	460,202.	460,202.
Total	460,202.	460,202.

Form 990-PF, Page 2, Part II, Line 10b
L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year Book Value	End of Year Fair Market Value
EUROPACIFIC GROWTH FUND - CLASS A	12,414,513.	12,414,513.
DFA U.S. SMALL -CAP VALUE FUND	3,880,916.	3,880,916.
ISHARES TR RUSSELL 2000 INDEX FUND	3,908,289.	3,908,289.
PIMCO TOTAL RETURN FUND	2,961,044.	2,961,044.
STATE STREET S&P 500 CTF	14,554,625.	14,554,625.
STATE STREET S&P MIDCAP INDEX CTF	1,940,589.	1,940,589.
Total	39,659,976.	39,659,976.

Form 990-PF, Page 2, Part II, Line 13
L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	End of Year Fair Market Value
QUELLOS REAL ASSETS II, LP	584,595.	584,595.
COMMON SENSE OFFSHORE, LTD.	4,121,582.	4,121,582.
PRIVATE ADVISORS STABLE VALUE FUND, LTD.	3,358,367.	3,358,367.
METROPOLITAN REAL ESTATE PARTNERS III	910,295.	910,295.
NORTHGATE PRIVATE EQUITY PARTNERS II	303,330.	303,330.
NORTHGATE VENTURE PARTNERS III	61,388.	61,388.
Total	9,339,557.	9,339,557.

Form 990-PF, Page 2, Part II, Line 14
L-14 Stmt

Line 14b - Description of Land, Buildings, and Equipment	(a) Cost/Other Basis	(b) Accumulated Depreciation	(c) Book Value
FURNITURE, FIXTURES & EQUIPMENTS	89,226.	52,170.	37,056.
Total	89,226.	52,170.	37,056.

Thomas B. Fordham Foundation
List of Officers, Directors, Trustees, Managers
Form 990PF, page 6, part VIII
December 31, 2006

EIN #31-6032844

Name and Address	Title	Compensation	Contributions to Employee Pension Plan	Expense Account
Chester E. Finn, Jr. 1701 K Street NW Suite 1000 Washington, DC 20006	President and Trustee 26 hours/week	$129,999.95	$15,600.00	$0.00
Thomas A. Holton 1701 K Street NW Suite 1000 Washington, DC 20006	Secretary and Trustee 1 hr/week	$3,900.00	$0 00	$0.00
Michael W. Kelly 1701 K Street NW Suite 1000 Washington, DC 20006	Trustee 1 hr/week	$3,900.00	$0 00	$0 00
Craig Kennedy 1701 K Street NW Suite 1000 Washington, DC 20006	Trustee 1 hr/week	$3,900.00	$0.00	$0 00
Bruno V. Manno 1701 K Street NW Suite 1000 Washington, DC 20006	Trustee 1 hr/week	$3,900 00	$0.00	$0.00
Eric Osberg 1701 K Street NW Suite 1000 Washington, DC 20006	Vice President and Treasurer 26 hours/week	$78,162.45	$9,106.50	$0.00
Rod Paige 1701 K Street NW Suite 1000 Washington, DC 20006	Trustee 1 hr/week	$3,250.00	$0.00	$0.00
Michael Petrilli 1701 K Street NW Suite 1000 Washington, DC 20006	Vice President - National Programs 26 hours/week	$78,162.53	$9,106.50	$0.00
David Ponitz 1701 K Street NW Suite 1000 Washington, DC 20006	Trustee and Chairman 1 hr/week	$3,900.00	$0.00	$0 00
Diane Ravitch 1701 K Street NW Suite 1000 Washington, DC 20006	Trustee 1 hr/week	$3,250.00	$0.00	$0 00
Terence Ryan 1701 K Street NW Suite 1000 Washington, DC 20006	Vice President - Ohio Programs 26 hours/week	$78,162.53	$9,106.50	$0.00
	TOTAL	**$390,487.45**	**$42,919.50**	**$0.00**

Thomas B. Fordham Foundation
Lobbying Activities
2006 Form 990PF, page 4,
Part VII-A, line 1a
December 31, 2006

EIN #31-6032844

In 2006 the Thomas B. Fordham Foundation provided a grant of $6,000 to the Philanthropy Roundtable in support of the Roundtable's work to establish the "Alliance for Charitable Reform" (ACR). The ACR was formed to lobby the Congress regarding legislation affecting the management of nonprofit organizations, including private foundations. Thus this grant is a permitted expenditure according to the self-defense exception to the lobbying restrictions described in Treas. Reg. § 53.4945-2(d)(3).

In 2006 the Thomas B. Fordham Foundation expended $150 in staff time drafting and submitting a letter to the Honorable John A. Boehner, Majority Leader of the United States House of Representatives. This letter urged Rep. Boehner to stop the inclusion, in pending litigation, of a provision that would expand the excise tax on private foundations to include assets used for "charitable purposes." The provision would have a detrimental effect on the ability of the Thomas B. Fordham Foundation to carry out its charitable mission. Thus this grant is a permitted expenditure according to the self-defense exception to the lobbying restrictions described in Treas. Reg. § 53.4945-2(d)(3). See next page for a copy of the letter submitted by the Fordham Foundation.

Chester E. Finn, Jr.
President

Eric C. Osberg
Vice President & Treasurer

Thomas A. Holton
Secretary

THOMAS B. FORDHAM FOUNDATION
OUTSIDE THE BOX

Trustees
Chester E. Finn, Jr.
Thomas A. Holton
Michael W. Kelly
Craig Kennedy
Bruno V. Manno
Rod Paige
David H. Ponitz
Diane Ravitch

Trustees Emeritus
Chester E. Finn
Bruce Kovner

July 20, 2006

The Honorable John A. Boehner
Majority Leader Office
H-107 The Capitol
Washington, DC 20515

Via facsimile: (202) 225-5117 and (202) 225-0704

Dear John,

I write regarding the pension bill under consideration today. I understand it contains a provision that would affect the Thomas B. Fordham Foundation's ability to carry out our charitable mission in Ohio and could inhibit our ability to work closely with charter schools and other community organizations in the Dayton and Cincinnati areas. (Of the nine charter schools that Fordham currently "sponsors" in Southwestern Ohio—with more planned for the years ahead—four are in Cincinnati, four in Dayton and one in Springfield. We've learned that it's expensive to do this right and a federally imposed revenue loss would truly be harmful.)

The proposal to expand the excise tax on private foundations to include assets used for charitable purposes in the definition of "investments," would impose new costs on Fordham and many other private foundations. Such costs would reduce the funds we are able to use to help the children and schools of Ohio (as well as our national education policy work, with which you're familiar). Furthermore, it is our understanding that the revenues to be raised via these additional taxes will be used for non-charitable purposes, i.e. that foundations are here simply being treated as a revenue source. Allow me to urge the Congress not to harm the charitable sector in this way.

We are also concerned that, by including charitable provisions in the current pension bill, they would be separated from other important reforms designed to *stimulate* charitable giving. That would reduce the likelihood of those reforms getting enacted into law, to the further detriment of the charitable sector. Instead, we support a balanced package of reforms for chartable organizations.

Please do your best to stop the inclusion of the private foundation excise tax provision in the pension bill. Many thanks for your time and attention to this important matter. I look forward to working with you in the future to strengthen the charitable sector—and to improve America's (and Ohio's) schools.

Cordially,

Chester E. Finn, Jr.
President

1701 K Street, NW • Suite 1000 • Washington, DC 20006 • Telephone (202) 223-5452 • Fax (202) 223-9226
www.edexcellence.net • Publications: (888) 823-7474

Thomas B. Fordham Foundation
Four Largest Direct Charitable Activities
2006 Form 990PF, page 7, Part IX-A

EIN: 31-6032844

1. **Ohio Community School Sponsorship:** Overseeing the management and academic performance of community schools in Ohio as a state-approved sponsoring organization. Cost estimate includes direct expenses.

Estimated Cost: $825,738

2. **Reports on Progress of Education Reform:** Planning, researching, writing, editing, and publishing report on status of education achievement and reform in the 50 states. Planning, commissioning, and editing of reports on the impact and effectiveness of Fordham Foundation efforts over the previous 10 years. Cost estimate includes research and publication expenses and allocated indirect expenses.

Estimated Cost: $336,938

3. **Timely Reports on Education Policy:** Planning, commissioning, editing, disseminating, and/or releasing numerous reports analyzing specific K-12 education policies and practices in the United States. Cost estimate includes research and publication expenses and allocated indirect expenses.

Estimated Cost: $218,608

4. **Policy Analysis:** Supporting outstanding educational research and reform efforts through annual prizes, support of the national quarterly *Education Next*, and the dissemination of a weekly newsletter, *The Education Gadfly*, highlighting current research, events and public policy in K-12 education. Cost estimate includes prizes, research materials and allocated indirect expenses.

Estimated Cost: $174,122

Total: $1,555,407

Thomas B Fordham Foundation
Grants and Contributions Paid in 2006
2006 Form 990, Page 11, Part XV, 3a

EIN 31-6032844

Recipient - Name and Address	IRS Tax Status	Any Relation to Foundation?	Purpose of Grant or Contribution	Amount
Caroline M Hoxby Harvard University Cambridge, MA 02138	Individual	None	2006 Fordham Prize for Distinguished Scholarship	$25,000 00
David Levin 250 E 156th St Rm 413 Bronx, NY 10451	Individual	None	2006 Fordham Prize for Valor	$12,500 00
Arts Center Foundation 2nd & Main Streets Dayton, OH 45402	501(c)3	N/A	For Dayton Performing Arts Center Payment 3 of 3	$34,000 00
Black Alliance for Educational Options 1315 N Main St Dayton, OH 45405	501(c)3	N/A	2006 Symposium Travel Support Grant	$10,000 00
Black Alliance for Educational Options 1315 N Main St Dayton, OH 45405	501(c)3	N/A	2007 Symposium Travel Support Grant	$10,000 00
Dayton - A Peace Process 5507 Tall Trees Dayton, OH 45429	501(c)3	N/A	For Dayton Literary Peace Prize	$1,500 00
Dayton Public Schools 115 S Ludlow St Dayton, OH 45401		N/A	DTDHS Grant - Professional Development Support for NWEA's MAP	$5,500 00
Girl Scouts of Buckeye Trails Council 450 Shoup Mill Rd Dayton, OH 45415	501(c)3	N/A	Grant to support general operations	$5,000 00
GreatSchools net 301 Howard St Ste 1440 San Francisco, CA 94105	501(c)3	N/A	Annual Support Grant Payment 3 of 3	$10,000 00
Harvard University Cambridge, MA 02138	501(c)3	N/A	EducationNext Support Grant 2006	$50,000 00
Harvard University Cambridge, MA 02138	501(c)3	N/A	EducationNext Support Grant 2007	$50,000 00
Humane Society of Greater Dayton 1661 Nicholas Road Dayton, OH 45418	501(c)3	N/A	Donation In Memory of Dale Patrick Dempsey	$200 00
James M Cox Jr Arboretum Foundation 673 E Springboro Pike Dayton, OH 45449	501(c)3	N/A	The School Resource Fund	$3,000 00
Keys to Improving Dayton Schools, Inc 400 E Fifth Street Suite 250 Dayton, OH 45402	501(c)3	N/A	General Support Grant (1/2)	$50,000 00
Keys to Improving Dayton Schools, Inc 400 E Fifth Street Suite 250 Dayton, OH 45402	501(c)3	N/A	General Support Grant	$50,000 00

Thomas B Fordham Foundation
Grants and Contributions Paid in 2006
2006 Form 990, Page 11, Part XV, 3a

EIN 31-6032844

Recipient - Name and Address	IRS Tax Status	Any Relation to Foundation?	Purpose of Grant or Contribution	Amount
KIPP 10711 KIPP Way Houston, TX 77099	501(c)3	N/A	Grant to support construction of KIPP SHINE Preparatory's New Housing	$12,500 00
NACSA 1125 Duke Street Alexandria, VA 22314-3513	501(c)3	N/A	2006 Conference Sponsorship	$3,500 00
National Alliance for Public Charter Schools 1101 14th St NW Ste 801 Washington, DC 20005	501(c)3	N/A	Grant for DE and NJ Charter Schools Project	$15,000 00
National Alliance for Public Charter Schools 1101 14th St NW Ste 801 Washington, DC 20005	501(c)3	N/A	Grant for OCSA Travel to National Charter School Conference in CA	$5,000 00
National Alliance for Public Charter Schools 1101 14th St NW Ste 801 Washington, DC 20005	501(c)3	N/A	Support for OH Public Charter School Alliance	$15,000 00
National Alliance for Public Charter Schools 1101 14th St NW Ste 801 Washington, DC 20005	501(c)3	N/A	Annual Support Grant - Payment 3 of 5	$10,000 00
National Alliance for Public Charter Schools 1101 14th St NW Ste 801 Washington, DC 20005	501(c)3	N/A	Annual Support Grant - Payment 4 of 5	$10,000 00
National Review Institute c/o Political Capital 245 East 63rd St New York, NY 10021	501(c)3	N/A	NRO 10th Anniversary Celebration Support	$2,000 00
Ohio Foundation of Independent Colleges 21 East State St Ste 1110 Columbus, OH 43215-4228	501(c)3	N/A	Dayton Committee Support Grant	$2,300 00
Omega School of Excellence 1821 Emerson Ave Dayton, OH 45406	501(c)3	N/A	Grant to Spport Saturday Tutor Program	$10,000 00
Omega School of Excellence 1821 Emerson Ave Dayton, OH 45406	501(c)3	N/A	Grant to Support Omega Reconstitution Efforts	$25,000 00
Omega School of Excellence 1821 Emerson Ave Dayton, OH 45406	501(c)3	N/A	Grant to Support School Reconstitution	$100,000 00
Parents Advancing Choice in Education The PACE House 1315 N Main St	501(c)3	N/A	For 2006-2007 PACE Scholarship	$50,000 00
Parents Advancing Choice in Education The PACE House 1315 N Main St	501(c)3	N/A	For 2007-2008 PACE Scholarship	$50,000 00
Philanthropy Roundtable 1150 17th Street NW Suite 503 Washington, DC 20036	501(c)3	N/A	2006 support grant	$5,000 00

Thomas B. Fordham Foundation
Grants and Contributions Paid in 2006
2006 Form 990, Page 11, Part XV, 3a

EIN 31-6032844

Recipient - Name and Address	IRS Tax Status	Any Relation to Foundation?	Purpose of Grant or Contribution	Amount
Philanthropy Roundtable 1150 17th Street NW Suite 503 Washington, DC 20036	501(c)3	N/A	Alliance for Charitable Reform 2006 (Contribution)	$6,000 00
Phoenix Learning Center 7030 Reading Road Suite 350 Cincinnati, OH 45237	501(c)3	N/A	For NCTE Reading Initiative	$10,000 00
The Springfield Academy of Excellence 623 South Center St Springfield, OH 45506-2209	501(c)3	N/A	Grant to Support Professional Development and Curriculum Revisions	$10,000 00
University of Dayton 300 College Park Dayton, OH 45469-0510	501(c)3	N/A	School Chooser Support Grant	$50,000 00
University of Dayton 300 College Park Dayton, OH 45469-0510	501(c)3	N/A	School Safety Seminar Grant	$5,000 00
University of Dayton 300 College Park Dayton, OH 45469-0510	501(c)3	N/A	Grant for Greatschool net	$25,000 00
University of Washington Box 353055 Seattle, WA 98195-3055	501(c)3	N/A	Annual Support Grant for Center for Reinventing Public Education (Part 3/3)	$10,000 00
The W E B DuBois Academy 1812 Central Parkway Cincinnati, OH 45214-2304	501(c)3	N/A	Grant for k i d s Financial Management Services	$16,666 00
The W E B DuBois Academy 1812 Central Parkway Cincinnati, OH 45214-2304	501(c)3	N/A	Grant for k i d s. Financial Management Services	$33,334 00
TOTAL				**$798,000 00**

Thomas B Fordham Foundation
Approved for Future Payment
2006 Form 990, Page 11, Part XV, 3b

EIN 31-6032844

FY Due	Recipient - Name and Address	IRS Tax Status	Any Relation to Foundation?	Purpose of Grant or Contribution	Amount
FY 2007	Harvard University Cambridge, MA 02138	501(c)3	N/A	*EducationNext* Support Grant 2008	$50,000 00
	TOTAL				**$50,000.00**

Form **8868**
(Rev December 2006)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

► File a separate application for each return

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . ► [X]
- If you are filing for an **Additional (not automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

Section 501(c)(3) corporations required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only . . . . . ► [ ]

All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing *(e-file)*. Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for section 501(c)(3) corporations required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868 For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*.

Type or print File by the due date for filing your return See instructions

Name of Exempt Organization	Employer identification number
THOMAS B. FORDHAM FOUNDATION	31-6032844
Number, street, and room or suite number If a P O box, see instructions	
1701 K STREET, NW, #1000	
City, town or post office For a foreign address, see instructions	state ZIP code
WASHINGTON	DC 20006

Check type of return to be filed (file a separate application for each return)

[ ] Form 990	[ ] Form 990-T (corporation)	[ ] Form 4720
[ ] Form 990-BL	[ ] Form 990-T (section 401(a) or 408(a) trust)	[ ] Form 5227
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 6069
[X] Form 990-PF	[ ] Form 1041-A	[ ] Form 8870

- The books are in the care of ► CHESTER E. FINN, JR.

Telephone No. ► (202) 223-5452 FAX No ► (202) 223-9226

- If the organization does not have an office or place of business in the United States, check this box . . . . . ► [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ► [ ]. If it is for part of the group, check this box ► [ ] and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6 months for a section 501(c)(3) corporation required to file Form 990-T) extension of time until Aug 15, 20 07, to file the exempt organization return for the organization named above
The extension is for the organization's return for
► [X] calendar year 20 06 or
► [ ] tax year beginning _____, 20 ___, and ending _____, 20 ___

2 If this tax year is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	**3a**	$ 0.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit . . .	**3b**	$
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions . . .	**3c**	$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.