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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note *The organization may be able to use a copy of this return to satisfy state reporting requirements*

OMB No 1545-0052

2001

For calendar year 2001, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of organization: THOMAS B. FORDHAM FOUNDATION

A Employer identification number: 31-6032844

Number and street (or P O box number if mail is not delivered to street address): 1627 K STREET, NW #600

Room/suite:

B Telephone number: 202-223-5452

City or town, state, and ZIP code: WASHINGTON, DC 20006

C If exemption application is pending check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 39,819,793.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received	25,250.			
	Check ▶ ☒ if the foundation is not required to attach Sch B				
	2 Distributions from split-interest trusts				
	3 Interest on savings and temporary cash investments	2,352.	2,352.		STATEMENT 2
	4 Dividends and interest from securities	1,450,077.	1,450,077.		STATEMENT 3
	5a Gross rents				
	b (Net rental income or (loss) _____)				
	6a Net gain or (loss) from sale of assets not on line 10	<3,227,308.>			STATEMENT 1
	b Gross sales price for all assets on line 6a _____				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
	b Less Cost of goods sold _____				
	c Gross profit or (loss)				
	11 Other income	900,051.	0.		STATEMENT 4
	12 Total Add lines 1 through 11	<849,578.>	1,452,429.		
Operating and Administrative Expenses	13 Compensation of officers directors trustees etc	143,000.	0.		143,000.
	14 Other employee salaries and wages	231,318.	0.		231,318.
	15 Pension plans, employee benefits	76,004.	0.		76,004.
	16a Legal fees STMT 5	40,507.	10,127.		30,380.
	b Accounting fees STMT 6	22,811.	3,422.		19,389.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 7	12,204.	12,204.		0.
	19 Depreciation and depletion	6,077.	6,077.		
	20 Occupancy	12,000.	0.		12,000.
	21 Travel, conferences, and meetings	30,240.	0.		30,240.
	22 Printing and publications	26,752.	0.		26,752.
	23 Other expenses STMT 8	753,680.	263,038.		490,642.
	24 Total operating and administrative expenses Add lines 13 through 23	1,354,593.	294,868.		1,059,725.
	25 Contributions, gifts, grants paid	1,288,688.			1,288,688.
	26 Total expenses and disbursements Add lines 24 and 25	2,643,281.	294,868.		2,348,413.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	<3,492,859.>			
	b Net investment income (if negative enter 0-)		1,157,561.		
	c Adjusted net income (if negative enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	24.	30.	30.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations STMT 10	11,698,163.	7,334,404.	7,334,404.
	b Investments - corporate stock STMT 11	31,605,378.	31,992,270.	31,992,270.
	c Investments - corporate bonds			
	11 Investments land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 12	4,271,504.	482,208.	482,208.
	14 Land buildings, and equipment basis ▶ 30,388.			
	Less accumulated depreciation ▶ 19,507.	10,661.	10,881.	10,881.
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	47,585,730.	39,819,793.	39,819,793.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers directors trustees and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted	47,585,730.	39,819,793.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Organizations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	47,585,730.	39,819,793.	
	31 Total liabilities and net assets/fund balances	47,585,730.	39,819,793.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	47,585,730.
2	Enter amount from Part I, line 27a	2	<3,492,859.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	44,092,871.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9	5	4,273,078.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	39,819,793.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				<3,227,308.>

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k) but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				<3,227,308.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	<3,227,308.>
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain also enter in Part I, line 8, column (c) If (loss) enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the organization does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2000	2,049,267.	47,721,763.	.0429420
1999	2,040,527.	45,710,887.	.0446398
1998	1,663,421.	39,721,614.	.0418770
1997	753,448.	28,908,881.	.0260629
1996	128,869.	5,242,680.	.0245807

2	Total of line 1, column (d)	2	.1801024
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.0360205
4	Enter the net value of noncharitable-use assets for 2001 from Part X, line 5	4	39,849,198.
5	Multiply line 4 by line 3	5	1,435,388.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	11,576.
7	Add lines 5 and 6	7	1,446,964.
8	Enter qualifying distributions from Part XII, line 4	8	2,348,413.

If line 8 is equal to or greater than line 7 check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling letter _____ (attach copy of ruling letter if necessary-see instructions)		
b	Domestic organizations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	11,576.
c	All other domestic organizations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	11,576.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-	5	11,576.
6	Credits/Payments		
a	2001 estimated tax payments and 2000 overpayment credited to 2001 — 6a: 53,801.		
b	Exempt foreign organizations - tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	53,801.
8	Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached	8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	42,225.
11	Enter the amount of line 10 to be **Credited to 2002 estimated tax** ▶ 11,576. **Refunded** ▶	11	30,649.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the organization attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to* **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the organization in connection with the activities*	1b		X
c	Did the organization file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the organization ▶ $ 0. (2) On organization managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the organization during the year for political expenditure tax imposed on organization managers ▶ $ 0.			
2	Has the organization engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the organization made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the organization have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	*Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either* • By language in the governing instrument or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the organization have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OHIO, DC			
b	If the answer is "Yes" to line 7, has the organization furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	X	
9	Is the organization claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2001 or the taxable year beginning in 2001 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X
11	Did the organization comply with the public inspection requirements for its annual returns and exemption application?	11		X

Web site address ▶ N/A

12 The books are in care of ▶ CHESTER E. FINN, JR. Telephone no ▶ 202-223-5452

Located at ▶ 1627 K STREET, NW WASHINGTON, DC ZIP+4 ▶ 20006

13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 13 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies		Yes	No
1a During the year did the organization (either directly or indirectly)			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the organization agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6) did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 19 of the instructions)?	1b		X
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐		
c *Did the organization engage in a prior year in any of the acts described in 1a, other than excepted acts that were not corrected before the first day of the tax year beginning in 2001?*	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the organization was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a At the end of tax year 2001, did the organization have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2001?	☐ Yes ☒ No		
If "Yes," list the years ▶ _____, _____, _____, _____			
b Are there any years listed in 2a for which the organization is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ _____, _____, _____, _____			
3a Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2001 as a result of (1) any purchase by the organization or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the organization had excess business holdings in 2001.)* N/A	3b		
4a Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the organization make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2001?	4b		X
5a During the year did the organization pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) *Provide a grant to an organization other than a charitable, etc., organization described in section* 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐		
c If the answer is "Yes" to question 5a(4), does the organization claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
If you answered "Yes" to 6b, also file Form 8870			

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED STATEMENT #15		143,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1) If none, enter "NONE "

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED STATEMENT #15		132,731.		

Total number of other employees paid over $50,000 ▶ 0

3 Five highest-paid independent contractors for professional services If none, enter "NONE "

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 SEE ATTACHED STATEMENT 17	
2	
3	
4	

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Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	40,265,643.
b	Average of monthly cash balances	1b	179,515.
c	Fair market value of all other assets	1c	10,881.
d	**Total** (add lines 1a, b and c)	1d	40,456,039.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	40,456,039.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	606,841.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3 Enter here and on Part V line 4	5	39,849,198.
6	***Minimum investment return*** *Enter 5% of line 5*	6	1,992,460.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	1,992,460.
2a	Tax on investment income for 2001 from Part VI, line 5	2a	11,576.		
b	Income tax for 2001 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	11,576.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	1,980,884.
4a	Recoveries of amounts treated as qualifying distributions	4a	0.		
b	Income distributions from section 4947(a)(2) trusts	4b	0.		
c	Add lines 4a and 4b			4c	0.
5	Add lines 3 and 4c			5	1,980,884.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	1,980,884.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	2,348,413.
b	*Program-related investments - Total from Part IX-B*	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,348,413.
5	Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	11,576.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	2,336,837.

Note *The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years*

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2000	(c) 2000	(d) 2001
1 Distributable amount for 2001 from Part XI, line 7				1,980,884.
2 Undistributed income if any as of the end of 2000				
a Enter amount for 2000 only			932,032.	
b Total for prior years 19 ___ ,19 ___ 19 ___		0.		
3 Excess distributions carryover if any, to 2001				
a From 1996				
b From 1997				
c From 1998				
d From 1999				
e From 2000				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2001 from Part XII, line 4 ▶ $ 2,348,413.				
a Applied to 2000, but not more than line 2a			932,032.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2001 distributable amount				1,416,381.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2001 (If an amount appears in column (d) the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below				
a Corpus Add lines 3f 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2000 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2001 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2002				564,503.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3)	0.			
8 Excess distributions carryover from 1996 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2002** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 1997				
b Excess from 1998				
c Excess from 1999				
d Excess from 2000				
e Excess from 2001				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2001, enter the date of the ruling ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - Enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year-see page 26 of the instructions.)

1 **Information Regarding Foundation Managers**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5 000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc , Programs**

Check here ▶ ☐ if the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the organization makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name address, and telephone number of the person to whom applications should be addressed

MR. CHESTER E. FINN, JR., PRESIDENT, THOMAS B. FORDHAM FOUNDATION
1627 K STREET, NW WASHINGTON, DC 20006

b The form in which applications should be submitted and information and materials they should include

SEE STATEMENT 13

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 16	NONE			
Total			▶ 3a	0.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	2,352.	
4 Dividends and interest from securities			14	1,450,077.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	<3,227,308.>	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a SEE STATEMENT 19					742,378.
b IRS REFUND					150,000.
c MISCELLANEOUS INCOME					2,214.
d SSGA BOOK INCOME					5,459.
e					
12 Subtotal Add columns (b), (d), and (e)		0.		<1,774,879.>	900,051.
13 *Total* Add line 12, *columns (b), (d), and (e)*				▶ 13	<874,828.>

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes)
	SEE STATEMENT 19

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting organization to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other Transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization If the organization received less than fair market value in any transaction or sharing arrangement show in column (d) the value of the goods other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes" complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
	N/A	

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements and to the best of my knowledge and belief it is true correct and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Sign Here

Signature of officer or trustee 8/12/02 Date PRESIDENT Title

Paid Preparer's Use Only

Preparer's signature LA VAUGHN T. DAVIS, CPA Date 8-6-02 Check if self-employed ☐ Preparer's SSN or PTIN

Firm's name (or yours if self-employed) address, and ZIP code LA VAUGHN T. DAVIS, P.A.
10018 COLESVILLE ROAD
SILVER SPRING, MD 20901

EIN ▶

Phone no ▶

FORDHAM FND BLAIR COMB RPT 10-43J653998

Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short term Gain/Loss
200 PENTAIR INC COM	11/21/2001	11/26/2001	7,331	7,154	177
600 PENTAIR INC COM	11/21/2001	11/27/2001	21,723	21,463	260
100 PENTAIR INC COM	11/21/2001	11/28/2001	3,597	3,577	20
200 PENTAIR INC COM	11/21/2001	11/29/2001	7,208	7,154	54
200 PENTAIR INC COM	11/21/2001	11/30/2001	7,213	7,154	59
200 FIRSTSERVICE CORP SUB VTG SH	11/21/2001	12/03/2001	5,006	4,456	550
500 INVISION TECHNOLOGIES INC COM	11/20/2001	12/03/2001	13,532	9,873	3,659
200 FIRSTSERVICE CORP SUB VTG SH	11/21/2001	12/04/2001	5,012	4,456	556
200 PENTAIR INC COM	11/21/2001	12/04/2001	7,197	7,154	43
600 O REILLY AUTOMOTIVE INC COM	11/20/2001	12/05/2001	21,615	19,577	2,038
200 DYNACARE INC COM	11/21/2001	12/06/2001	3,350	2,510	840
1200 DYNACARE INC COM	11/21/2001	12/06/2001	19,253	15,060	4,193
1650 THE CORPORATE EXECUTIVE BOARD CO COMMN	11/26/2001	12/07/2001	62,571	50,448	12,123
100 FIRSTSERVICE CORP SUB VTG SH	11/21/2001	12/07/2001	2,503	2,228	275
100 ALPHARMA INC COM	11/20/2001	12/10/2001	2,411	2,347	64
100 BRANDYWINE RLTY TR SH BEN INT NEW	11/20/2001	12/10/2001	2,059	2,028	31
100 CADMUS COMMUNICATIONS CORP COM	11/27/2001	12/10/2001	1,015	1,005	10
100 CHECKPOINT SYS INC COM	11/20/2001	12/10/2001	1,181	1,050	131
100 FLOWSERVE CORP COM	11/20/2001	12/10/2001	2,549	2,396	153
100 HUDSON UNITED BANCORP COM	11/20/2001	12/10/2001	2,670	2,701	-31
100 JONES LANG LASALLE INC COM	11/21/2001	12/10/2001	1,573	1,601	-28
100 NEWPARK RES INC COM PAR $0 01 NEW	11/20/2001	12/10/2001	753	664	89
100 PENTAIR INC COM	11/21/2001	12/10/2001	3,894	3,577	317
100 RYDER SYS INC COM	11/20/2001	12/10/2001	2,109	2,044	65
100 WATSCO INC CL A	11/20/2001	12/10/2001	1,397	1,222	175
800 DYNACARE INC COM	11/21/2001	12/11/2001	12,874	10,040	2,834
2200 HARMONIC LIGHTWAVES INC COM	11/21/2001	12/11/2001	31,063	22,110	8,953
100 PRIME HOSPITALITY CORP COM	11/20/2001	12/11/2001	1,037	1,051	-14
600 DYNACARE INC COM	11/21/2001	12/13/2001	9,702	7,530	2,172
100 OVERLAND DATA INC COM	11/20/2001	12/13/2001	900	869	31
400 DYNACARE INC COM	11/21/2001	12/14/2001	6,485	5,020	1,465
300 DYNACARE INC COM	11/21/2001	12/17/2001	4,806	3,765	1,041
450 EDUCATION MGMT CORP COM	11/20/2001	12/17/2001	17,446	14,225	3,221
700 INVISION TECHNOLOGIES INC COM	11/20/2001	12/17/2001	27,666	13,822	13,844
2100 XM SATELLITE RADIO HLDGS INC COM	11/20/2001	12/17/2001	37,799.	19,425.	18,374.
Totals					

FORDHAM FND BLAIR COMB RPT 10-433653998

Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
1025 SILICON LABORATORIES INC COM	11/20/2001	12/18/2001	39,701	28,321	11,380
1300 SIRIUS SATELLITE RADIO INC COM	11/21/2001	12/20/2001	14,598	6,318	8,280
100 CHECKPOINT SYS INC COM	11/20/2001	12/31/2001	1,339	1,050	289
100 L S I INDUSTRIES INC (OHIO) COM	11/27/2001	12/31/2001	1,720	1,675	45
100 OVERLAND DATA INC COM	11/20/2001	12/31/2001	809	869	-60
100 WHITEHALL JEWELLERS INC COM	12/07/2001	12/31/2001	1,103	1,002	101
Totals			417,770	319,991	97,779

FORDHAM THOMAS FOUNDATION CHAR 10-401489000

Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short term Gain/Loss
3000 DISNEY WALT CO COM	10/25/2000	02/07/2001	93,537	114,153	-20,616
2500 LAUDER ESTEE COS INC CL A	09/05/2000	02/07/2001	98,072	105,169	-7,097
421 MCDATA CORP CL A	09/05/2000	02/26/2001	16	24	-8
150000 UNITED STATES TREAS NTS DTD 3-1-99 5 0% DUE 2-28-2001	01/19/2001	02/28/2001	150,000	149,965	35
150000 UNITED STATES TREAS NT DTD 4-30-96 6 25% DUE 4-30-01	01/19/2001	04/30/2001	150,000	150,393	-393
1000 COLGATE PALMOLIVE CO COM	10/25/2000	05/11/2001	57,678	54,780	2,898
110 MCDATA CORP CL A	09/05/2000	05/11/2001	2,717	4,785	-2,068
150000 UNITED STATES TREAS NTS DTD 5-31-96 6 50% DUE 5-31-01	01/19/2001	05/31/2001	150,000	150,656	-656
3000 APPLERA CORP - APPLIED BIOSYSTEMS GROUP COM	05/11/2001	06/05/2001	99,209	166,295	-67,086
150000 UNITED STATES TREAS NTS DTD 8-2-99 5 50% DUE 7-31-2001	01/19/2001	06/26/2001	150,275	150,299	-24
3500 CITIGROUP INC COM	05/11/2001	09/26/2001	136,963	182,186	-45,223
2100 JUNIPER NETWORKS INC COM	05/11/2001	09/26/2001	22,003	159,896	-137,893
1000 LAUDER ESTEE COS INC CL A	10/25/2000	09/26/2001	32,129	41,530	-9,401
2500 MEDIMMUNE INC COM	01/04/2001	09/26/2001	82,402	138,625	-56,223
2500 REUTERS GROUP PLC SPONSORED ADR	06/05/2001	09/26/2001	128,420	217,320	-88,900
1700 CALPINE CORPORATION COM	09/26/2001	11/12/2001	45,101	36,317	8,784
2300 CALPINE CORPORATION COM	09/26/2001	11/12/2001	59,421	49,135	10,286
1400 GENZYME CORP COM	05/11/2001	11/12/2001	73,321	73,500	-179
12000 LIBERTY MEDIA CORP NEW COM SER A	09/26/2001	11/12/2001	147,273	185,000	-37,727
3000 NOKIA CORP SPONSORED ADR	09/26/2001	11/12/2001	65,002	49,130	15,872
5000 TYCO INTL LTD NEW COM	09/26/2001	11/12/2001	268,841	249,096	19,745
4600 WATERS CORP COM	09/26/2001	11/12/2001	174,656	199,815	-25,159
Totals			2,187,036	2,628,069	-441,033

FORDHAM THOMAS FOUNDATION CHAR 10-401489000

Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
20% MAX RATE LONG-TERM CAPITAL GAINS (LOSSES)					
1000 VERIZON COMMUNICATIONS COM	10/28/1996	01/04/2001	54,781	34,758	20,023
500000 UNITED STATES TREAS NTS DTD 5-15-98 5 625% DUE 5-15-08	09/30/1998	01/19/2001	515,234	543,984	-28,750
1000 HOME DEPOT INC COM	03/04/1998	02/07/2001	45,868	21,468	24,400
500 VERIZON COMMUNICATIONS COM	10/28/1996	02/07/2001	26,884	17,379	9,505
500 EXXON MOBIL CORP COM	10/28/1996	05/11/2001	43,444	22,195	21,249
300000 UNITED STATES TREAS NTS DTD 2-15-97 6 25% DUE 2-15-07	01/26/2000	05/11/2001	315,727	292,781	22,946
600000 UNITED STATES TREAS NT DTD 5-15-95 6 50% DUE 5-15-05	08/22/1996	05/11/2001	633,938	596,625	37,313
2000 HOME DEPOT INC COM	03/04/1998	06/05/2001	101,137	42,937	58,200
700000 UNITED STATES TREAS NTS DTD 2-15-97 6 25% DUE 2-15-07	01/26/2000	06/05/2001	741,234	683,156	58,078
500000 UNITED STATES TREAS NTS DTD 10-15-96 6 50% DUE 10-15-06	01/26/2000	06/05/2001	534,648	494,570	40,078
200000 UNITED STATES TREAS NTS DTD 2-15-97 6 25% DUE 2-15-07	01/26/2000	06/26/2001	212,867	195,188	17,679
250000 UNITED STATES TREAS NTS DTD 10-15-96 6 50% DUE 10-15-06	01/26/2000	06/26/2001	268,574	247,285	21,289
300000 UNITED STATES TREAS BDS DTD 8-15-93 6 25% DUE 8-15-23	02/09/1998	07/24/2001	322,125	309,938	12,187
750000 UNITED STATES TREAS NTS DTD 2-15-94 5 875% DUE 2-15-04	08/22/1996	07/24/2001	780,381	721,641	58,740
400 JUNIPER NETWORKS INC COM	09/05/2000	09/26/2001	4,191	87,172	-82,981
1000 LAUDER ESTEE COS INC CL A	09/25/2000	09/26/2001	32,129	36,780	-4,651
2900 CISCO SYS INC COM	09/01/1998	11/12/2001	54,942	43,379	11,563
5000 NOKIA CORP SPONSORED ADR	09/30/1998	11/12/2001	108,336	49,081	59,255
1700 STATE STR CORP COM	10/25/2000	11/12/2001	83,025	100,826	-17,801
500000 UNITED STATES TREAS NTS DTD 5-15-98 5 625% DUE 5-15-08	03/09/1999	11/13/2001	543,394	509,512	33,882
1000000 UNITED STATES TREAS NTS DTD 5-15-99 5 5% DUE 5-15-2009	01/26/2000	11/13/2001	1,080,694	940,391	140,303
TOTAL 20% MAX RATE LONG-TERM CAPITAL GAINS (LOSSES)			6,503,553	5,991,046	512,507
QUALIFIED 5 YEAR GAINS					
1500 AMERICAN INTL GROUP INC COM	10/11/1995	01/04/2001	143,856	30,512	113,344
1000 SBC COMMUNICATIONS INC COM	02/16/1989	01/04/2001	51,093	10,826	40,267
1000 GENERAL ELEC CO COM	05/22/1986	02/07/2001	47,638	3,226	44,412
500 SBC COMMUNICATIONS INC COM	02/16/1989	02/07/2001	24,959	5,413	19,546
1000 GENERAL ELEC CO COM	05/22/1986	05/11/2001	48,488	3,226	45,262
1500 SBC COMMUNICATIONS INC COM	02/16/1989	05/11/2001	62,278	16,239	46,039
Totals					

FORDHAM THOMAS FOUNDATION CHAR 10-401489000

Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
1000 GENERAL ELEC CO COM	05/22/1986	06/05/2001	49,148	3,226	45,922
1500 GILLETTE CO COM	05/27/1982	06/05/2001	43,829	1,573	42,256
1100 PROCTER & GAMBLE CO COM	06/30/1972	11/09/2001	84,997	580	84,417
800 INTERNATIONAL BUSINESS MACHS CORP COM	06/30/1972	11/12/2001	91,888	356	91,532
TOTAL QUALIFIED 5 YEAR GAINS			648,174	75,177	572,997
Totals			7,151,727	6,066,223	1,085,504

SSgA.

STATE STREET GLOBAL ADVISORS
Participant Record Keeping System

Trade Summary Report

From: 31 October 2001
To: 31 December 2001
Lending Status: All Participants

Fund: CMQ4NON - Intermediate U.S. Government Index CTF (CMQ4NON)

Date	Participant	Participant	Trans Type	Units Traded	Price	Comm.	Market Effect	Total Cost/ Proceeds	Realized Gain/Loss
15 Nov 2001	TFFCTF	Thomas B Fordham Foundation	Buy	60,037 030	10.777	0 00	0.00	647,019 07	
15 Nov 2001	TFFCTF	Thomas B. Fordham Foundation	Buy In-kind	618,311 076	10 777	0.00	0 00	6,663,538.47	
16 Nov 2001	TFFCTF	Thomas B Fordham Foundation	Buy	17,658 693	10 728	0.00	0.00	189,442.46	
			Buy Subtotal:	696,006 799		0 00	0 00	7,500,000.00	0.00
31 Dec 2001	TFFCTF	Thomas B Fordham Foundation	Dividend Reinvestment	6,065 641	10 623	0.00	0.00	65,681 30	
			Dividend Reinv Subtotal:	6,065 641		0 00	0 00	65,681.30	0.00
27 Nov 2001	TFFCTF	Thomas B Fordham Foundation	Sell	23,318 720	10.721	0.00	0.00	(250,000 00)	-1,276 86
			Sell Subtotal:	23,318 720		0 00	0.00	250,000 00	1,276.86

Report Created At 31 January 2002 9:08 AM

01/31/02 10 41 FAX 617 664 6175 SSGA CLIENT SERVICE 006

SSgA.

STATE STREET GLOBAL ADVISORS
Participant Record Keeping System

Trade Summary Report

From: 31 October 2001
To: 31 December 2001
Lending Status: All Participants

Fund: CMQ4NON - Intermediate U.S. Government Index CTF (CMQ4NON)

Date	Participant	Participant	Trans Type	Units Traded	Price	Comm.	Market Effect	Total Cost/ Proceeds	Realized Gain/Loss
15 Nov 2001	TFFCTF	Thomas B Fordham Foundation	Buy	60,037 030	10.777	0 00	0.00	647,019 07	
15 Nov 2001	TFFCTF	Thomas B. Fordham Foundation	Buy In-kind	618,311 076	10 777	0 00	0 00	6,663,538.47	
16 Nov 2001	TFFCTF	Thomas B. Fordham Foundation	Buy	17,658 693	10 728	0.00	0.00	189,442.46	
			Buy Subtotal:	696,006 799		0 00	0 00	7,500,000.00	0 00
31 Dec 2001	TFFCTF	Thomas B Fordham Foundation	Dividend Reinvestment	8,065 641	10 623	0.00	0.00	85,681 30	
			Dividend Reinv Subtotal:	8,065 641		0 00	0 00	85,681.30	0 00
27 Nov 2001	TFFCTF	Thomas B Fordham Foundation	Sell	23,316 720	10.721	0.00	0.00	(250,000 00)	-1,276.86
			Sell Subtotal:	23,316 720		0 00	0.00	250,000 00	1,276.86

Report Created At 31 January 2002 9:08 AM

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	BLAIR COMB RPT - SEE STATEMENT			
b	SCUDDER FUNDS - STMT #18			
c	SCUDDER FUNDS - STMT #18			
d	NAT'L CITY #1489 - SEE STATEMENT			
e	NAT'L CITY #1489 - SEE STATEMENT			
f	NAT'L CITY NASDAQ SETTLEMENT			
g	24,875.622 SHS SCUDDER INT'L EQUITY			
h	REALIZED LOSS ON LIQUIDATION OF SCUDDER FUNDS			
i	STATE STREET GLOBAL - STMT #21			
j	STATE STREET GLOBAL - STMT #21			
k	STATE STREET GLOBAL - STMT #21			
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				97,779.
b				<2,634,558.>
c				<243,803.>
d				<441,033.>
e				1,085,504.
f				27.
g				186,037.
h				<1,337,420.>
i				<12,978.>
j				57,509.
k				15,628.
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				97,779.
b				<2,634,558.>
c				<243,803.>
d				<441,033.>
e				1,085,504.
f				27.
g				186,037.
h				<1,337,420.>
i				<12,978.>
j				57,509.
k				15,628.
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	<3,227,308.>
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

123591
07 17-01

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
BLAIR COMB RPT - SEE STATEMENT	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	97,779.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SCUDDER FUNDS - STMT #18	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	<2,634,558.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SCUDDER FUNDS - STMT #18	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	<243,803.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
NAT'L CITY #1489 - SEE STATEMENT	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	<441,033.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
NAT'L CITY #1489 - SEE STATEMENT	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	1,085,504.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
NAT'L CITY NASDAQ SETTLEMENT	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	27.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
24,875.622 SHS SCUDDER INT'L EQUITY	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	186,037.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
REALIZED LOSS ON LIQUIDATION OF SCUDDER FUNDS	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	<1,337,420.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
STATE STREET GLOBAL - STMT #21	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	<12,978.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
STATE STREET GLOBAL - STMT #21	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	57,509.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
STATE STREET GLOBAL - STMT #21	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	15,628.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	<3,227,308.>

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	AMOUNT
US TREASURY DEPARTMENT	2,352.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	2,352.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DISTRIBUTION FROM TROYLON PORTFOLIO	150,000.	0.	150,000.
MERRILL LYNCH	3,380.	0.	3,380.
NAT'L CITY BANK	867,355.	0.	867,355.
SCUDDER FUNDS - STMT #18	353,003.	0.	353,003.
STATE STREET GLOBAL - STMT # 21	76,339.	0.	76,339.
TOTAL TO FM 990-PF, PART I, LN 4	1,450,077.	0.	1,450,077.

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	AMOUNT
SEE STATEMENT 19	742,378.
IRS REFUND	150,000.
MISCELLANEOUS INCOME	2,214.
SSGA BOOK INCOME	5,459.
TOTAL TO FORM 990-PF, PART I, LINE 11, COLUMN A	900,051.

FORM 990-PF LEGAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	40,507.	10,127.		30,380.
TO FM 990-PF, PG 1, LN 16A	40,507.	10,127.		30,380.

FORM 990-PF ACCOUNTING FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	22,811.	3,422.		19,389.
TO FORM 990-PF, PG 1, LN 16B	22,811.	3,422.		19,389.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHELD	1,690.	1,690.		0.
FOREIGN TAX W/H SCUDDER FUNDS - STMT #18	10,514.	10,514.		0.
TO FORM 990-PF, PG 1, LN 18	12,204.	12,204.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKERAGE/INVESTMENT FEES	226,473.	226,473.		0.
CONTRACTS	77,431.	0.		77,431.
PRODUCTION/DISTRIBUTION	129,373.	0.		129,373.
FULFILLMENT	15,076.	0.		15,076.
WEB SITE	16,868.	0.		16,868.

BOARD MEETINGS	26,314.	0.		26,314.
DUES/MEMBERSHIPS	2,811.	0.		2,811.
EQUIPMENT RENTAL & MAINT.	2,554.	0.		2,554.
EQUIPMENT & COMPUTER SUPPLIES	1,296.	0.		1,296.
LICENSES & FEES	283.	0.		283.
INSURANCE	13,534.	0.		13,534.
MISCELLANEOUS	7,678.	0.		7,678.
OFFICE SUPPLIES	6,688.	0.		6,688.
POSTAGE/DELIVERY	41,189.	0.		41,189.
PUBLIC AFFAIRS	89,244.	0.		89,244.
TEMPS/INTERNS	8,438.	0.		8,438.
RESOURCE MATERIALS	6,170.	0.		6,170.
TELEPHONE	15,368.	0.		15,368.
CONSULTANTS	29,741.	0.		29,741.
BANK CHARGES	336.	0.		336.
SCUDDER CURRENCY LOSSES - STMT #18	25,376.	25,376.		0.
SCUDDER PORT. DEDUCTIONS - STMT #18	10,549.	10,549.		0.
MAILING LIST	250.	0.		250.
STATE STREET GLOBAL - STMT #21	640.	640.		0.
TO FORM 990-PF, PG 1, LN 23	753,680.	263,038.		490,642.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 9

DESCRIPTION	AMOUNT
DECREASES TO FOUNDATION CAPITAL - SEE STATEMENT 20	4,273,078.
TOTAL TO FORM 990-PF, PART III, LINE 5	4,273,078.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 10

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. GOVERNMENT OBLIGATIONS	X		7,334,404.	7,334,404.
TOTAL U.S. GOVERNMENT OBLIGATIONS			7,334,404.	7,334,404.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			7,334,404.	7,334,404.

FORM 990-PF CORPORATE STOCK STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	31,992,270.	31,992,270.
TOTAL TO FORM 990-PF, PART II, LINE 10B	31,992,270.	31,992,270.

FORM 990-PF OTHER INVESTMENTS STATEMENT 12

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CASH & MONEY MARKET FUNDS	482,208.	482,208.
TOTAL TO FORM 990-PF, PART II, LINE 13	482,208.	482,208.

FORM 990-PF PART XV, LINE 2B APPLICATION REQUIREMENTS STATEMENT 13

DESCRIPTION

LETTER STATING THE PURPOSE FOR WHICH GRANT WILL BE USED AND A COPY OF AN EXEMPTION LETTER.

Form **2220**

Department of the Treasury
Internal Revenue Service

Underpayment of Estimated Tax by Corporations

▶ See separate instructions
▶ Attach to the corporation's tax return

FORM 990-PF

OMB No 1545-0142

2001

Name

THOMAS B. FORDHAM FOUNDATION

Employer identification number

31-6032844

Note In most cases the corporation **does not** need to file Form 2220 (See Part I below for exceptions) The IRS will figure any penalty owed and bill the corporation If the corporation does not need to file Form 2220, it may still use it to figure the penalty Enter the amount from line 36 on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220

Part I Reasons For Filing - Check the boxes below that apply to the corporation If any boxes are checked, the corporation must file Form 2220, even if it does not owe the penalty If the box on line 1 or line 2 applies, the corporation may be able to lower or eliminate the penalty

1 ☐ The corporation is using the annualized income installment method

2 ☐ The corporation is using the adjusted seasonal installment method

3 ☐ The corporation is a "large corporation" figuring its first required installment based on the prior year's tax

Note The corporation also must file Form 2220 if it has a suspended research credit allowed for the current year (see the instructions for line 4) or it is an indirectly affected taxpayer (see instructions)

Part II Figuring the Underpayment

4	Total tax (see instructions)		4	11,576.
5a	Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 4	5a		
b	Look-back interest included on line 4 under section 460(b)(2) for completed long-term contracts or of section 167(g) for property depreciated under the income forecast method	5b		
c	Credit for Federal tax paid on fuels (see instructions)	5c		
d	**Total** Add lines 5a through 5c		5d	
6	Subtract line 5d from line 4 If the result is less than $500, **do not** complete or file this form The corporation does not owe the penalty		6	11,576.
7	Enter the tax shown on the corporation's 2000 income tax return **Caution** See instructions before completing this line		7	196,399.
8	Enter the **smaller** of line 6 or line 7 If the corporation must skip line 7, enter the amount from line 6		8	11,576.

			(a)	(b)	(c)	(d)
9	**Installment due dates** Enter in columns (a) through (d) the 15th day of the 4th (**Form 990-PF filers** Use 5th month), 6th, 9th, and 12th months of the corporation s tax year **Exception** Enter October 1 2001, instead of September 15, 2001 ▶	9	05/15/01	06/15/01	10/01/01	12/15/01
10	**Required installments** If the box on line 1 and/or line 2 above is checked, enter the amounts from Schedule A, line 40 If the box on line 3 (but not 1 or 2) is checked, see instructions for the amounts to enter If none of these boxes are checked, enter 25% of line 8 above in each column	10	2,894.	2,894.	2,894.	2,894.
11	Estimated tax paid or credited for each period (see instructions) For column (a) only, enter the amount from line 11 on line 15	11	53,801.			
	Complete lines 12 through 18 of one column before going to the next column					
12	Enter amount, if any, from line 18 of the preceding column	12		50,907.	48,013.	45,119.
13	Add lines 11 and 12	13		50,907.	48,013.	45,119.
14	Add amounts on lines 16 and 17 of the preceding column	14				
15	Subtract line 14 from line 13 If zero or less, enter -0-	15	53,801.	50,907.	48,013.	45,119.
16	If the amount on line 15 is zero, subtract line 13 from line 14 Otherwise, enter -0-	16		0.	0.	
17	**Underpayment** If line 15 is less than or equal to line 10 subtract line 15 from line 10 Then go to line 12 of the next column Otherwise, go to line 18	17				
18	**Overpayment** If line 10 is less than line 15, subtract line 10 from line 15 Then go to line 12 of the next column	18	50,907.	48,013.	45,119.	

Complete Part III on page 2 to figure the penalty If there are no entries on line 17, no penalty is owed

Part III Figuring the Penalty

		(a)	(b)	(c)	(d)
19 Enter the date of payment or the 15th day of the 3rd month after the close of the tax year, whichever is earlier (see instructions) **(Form 990-PF and Form 990-T filers** Use 5th month instead of 3rd month)	19				
20 Number of days from due date of installment on line 9 to the date shown on line 19	20				
21 Number of days on line 20 after 4/15/2001 and before 7/1/2001	21				
22 Underpayment on line 17 x Number of days on line 21 / 365 x 8%	22	$	$	$	$
23 Number of days on line 20 after 6/30/2001 and before 1/1/2002	23				
24 Underpayment on line 17 x Number of days on line 23 / 365 x 7%	24	$	$	$	$
25 Number of days on line 20 after 12/31/2001 and before 4/1/2002	25				
26 Underpayment on line 17 x Number of days on line 25 / 365 x 6%	26	$	$	$	$
27 Number of days on line 20 after 3/31/2002 and before 7/1/2002	27				
28 Underpayment on line 17 x Number of days on line 27 / 365 x *%	28	$	$	$	$
29 Number of days on line 20 after 6/30/2002 and before 10/1/2002	29				
30 Underpayment on line 17 x Number of days on line 29 / 365 x *%	30	$	$	$	$
31 Number of days on line 20 after 9/30/2002 and before 1/1/2003	31				
32 Underpayment on line 17 x Number of days on line 31 / 365 x *%	32	$	$	$	$
33 Number of days on line 20 after 12/31/2002 and before 2/16/2003	33				
34 Underpayment on line 17 x Number of days on line 33 / 365 x %	34	$	$	$	$
35 Add lines 22, 24, 26 28 30, 32, and 34	35	$	$	$	$

36 **Penalty** Add columns (a) through (d), of line 35 Enter the total here and on Form 1120, line 33, Form 1120-A, line 29, or the comparable line for other income tax returns — 36 $ 0.

* **For underpayments paid after March 31, 2002** For lines 28, 30, 32, and 34, use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin To obtain this information on the Internet, access the IRS Web Site at **www Irs gov** You can also call 1-800-829-1040 to get interest rate information

THOMAS B FORDHAM FOUNDATION
12-31-01
STATEMENT 15 COMPENSATION OF OFFICERS AND TRUSTEES

LINE 1 Trustees and Officers

Name and Address	Title	Compensation	Contributions to Employee Benefits Plan	Expense Account (primarily travel reimbursements)
Chester E Finn 23 Cedar Lane Moss Creek Plantation Hilton Head Island, SC 29926	Trustee	$2,000 00	$0	$1,531 69
Chester E Finn, Jr. Thomas B Fordham Foundation 1627 K Street, NW, Suite 600 Washington, DC 20006	President and Trustee	$128,000 08	$16,320 00	$3,395 25
Thomas A. Holton Porter, Wright, Morris & Arthur One South Main Street P O Box 1805 Dayton, OH 45402	Secretary-Treasurer and Trustee	$3,000 00	$0	$1,595 17
Bruce Kovner Caxton Corporation 667 Madison Avenue New York, NY 10021	Trustee	$2,000 00	$0	$0
Bruno V Manno Annie E Casey Foundation 701 St Paul Street Baltimore, MD 21202	Trustee	$3,000 00	$0	$1,590 52
David H Ponitz Sinclair Community College 444 W Third Street Dayton, OH 45402	Vice President and Trustee	$3,000 00	$0	$1,349 31
Diane Ravitch 24 Garden Place Brooklyn, NY 11201	Trustee	$2,000 00	$0	$663 96
	TOTAL	**$143,000 08**	**$16,320 00**	**$10,125 90**

THOMAS B FORDHAM FOUNDATION
12-31-01
STATEMENT 15 COMPENSATION OF OFFICERS AND TRUSTEES

LINE 2 Employees Paid
More than $50,000 in 2001

Name	Title	Compensation	Contributions to Employee Benefits Plan	Expense Account (primarily travel reimbursements)
Kelly Amis Thomas B Fordham Foundation 1627 K Street, NW, Suite 600 Washington, DC 20001	Program Director	$66,730 73	$7,768 00	$12,210 61
Marci Kanstoroom Thomas B Fordham Foundation 1627 K Street, NW, Suite 600 Washington, DC 20001	Research Director	$65,999 93	$7,920 00	$1,826 03
	TOTAL	**$132,730.66**	**$15,688 00**	**$14,036 64**

THOMAS B FORDHAM FOUNDATION
12-31-2001
STATEMENT 16 GRANTS PAID

Recipient - Name and Address	Purpose of Grant or Contribution	Amount
Alliance for Education 2100 Kettering Tower Dayton, OH 45423	Grant to support the costs of the 2000/2001 Dayton Charter School Testing Project (Final installment)	$6,000 85
Black Alliance for Educational Options 501 C Street, NE Suite 3 Washington, DC 20002	Grant to support the Education Allies project	$25,000 00
Charter Friends Network 1295 Bandana Boulevard, #165 St Paul, MN 55018	Grant in support of of the Network's efforts to inform debates over federal policy affecting charter schools by creating a working group and engaging the services of a policy consultant	$10,000 00
Childrens Scholarship Fund 7 West 57 Street New York, NY 10019	Grant to support the PACE Scholarship in Dayton, OH	$375,000 00
Colin Powell Leadership Academy 1036 Rossiter Drive Dayton, OH 45418	Grant to support start up activities	$25,000 00
Colin Powell Leadership Academy 1036 Rossiter Drive Dayton, OH 45418	Grant for CORE Knowledge Project among three (3) Dayton area schools	$25,000 00
Colin Powell Leadership Academy 1036 Rossiter Drive Dayton, OH 45418	Challenge grant to support first year of operations	$75,000 00
Curators of the University of Missouri -Columbia Office of Research 310 Jesse Hall, MS-AG Columbia, MO 65211-1230	Grant in support of a research project on personnel policies of charter and private schools (Second of three installments)	$10,000 00
Curators of the University of Missouri -Columbia Office of Research 310 Jesse Hall, MS-AG Columbia, MO 65211-1230	Grant in support of a research project on personnel policies of charter and private schools (Third of three installments)	$9,200 00
Dayton Foundation 110 N Main Street, Suite 1360 Dayton, OH 45402	Grant to support the 2001/2002 charter-school-before-and-after testing project	$25,000 00
Dayton Foundation 110 N Main Street, Suite 1360 Dayton, OH 45402	Grant to support Education Resource Center	$75,000 00
Education & Public Improvement Foundation 1 Chamber Plaza Fifth and Main Streets Dayton, OH 45402	Grant to support expenses of the Community Schools Design and Development Project Fund - Parent Advocacy, BAEO	$1,564 99
Education & Public Improvement Foundation 1 Chamber Plaza Fifth and Main Streets Dayton, OH 45402	Grant to support expenses of the Community Schools Design and Development Project Fund - School Reform, Dayton Charter School Assistance	$4,462 63
Education & Public Improvement Foundation 1 Chamber Plaza Fifth and Main Streets Dayton, OH 45402	Grant in continuing support of the Education Resource Center (ERC)	$41,459 00
Education Leaders Council 1225 19th Street, NW Suite 400 Washington, DC 20036	Grant in support of AccountabilityWorks in support of a consumer's guide to state acountability systems	$25,000 00

THOMAS B FORDHAM FOUNDATION
12-31-2001
STATEMENT 16 GRANTS PAID

Recipient - Name and Address	Purpose of Grant or Contribution	Amount
Education Leaders Council 1225 19th Street, NW Suite 400 Washington, DC 20036	Grant in support of AccountabilityWorks in support of a consumer's guide to state acountability systems	$25,000 00
Harvard University Department of Government Harvard University Cambridge, MA 2138	Grant to support Education Matters journal (Second of three installments)	$100,000 00
Inventing Flight 1152 West Third Street Dayton, OH 45407	Grant to support Inventing Flight Teacher's Guide	$5,000 00
K12 Gallery for Young People PO Box 1714 Dayton, OH 45401	Grant in support of classes and workshops for young artists in the Miami Valley area	$1,000 00
Kids2000 Post Office Box 212 Dayton, OH 45401	Grant to support the establishment of a Dayton chapter of the Black Alliance for Educational Options (BAEO)	$5,000 00
National Council on Teacher Quality 30 Garrison Street, #103 Boston, MA 2116	Grant in support of promoting a commonsense strategy for boosting teacher quality	$20,000 00
National Council on Teacher Quality 30 Garrison Street, #103 Boston, MA 2116	Grant in support of promoting a commonsense strategy for boosting teacher quality	$60,000 00
National Council on Teacher Quality 30 Garrison Street, #103 Boston, MA 2116	Grant in support of promoting a commonsense strategy for boosting teacher quality	$25,000 00
National Council on Teacher Quality 30 Garrison Street, #103 Boston, MA 2116	Grant in support of promoting a commonsense strategy for boosting teacher quality (Prepayment for 2002)	$50,000 00
New Choices Community School 20 W First Street Dayton, OH 45402	Grant in support of start-up activities	$25,000 00
Ohio Community School Center 21 East State Street Suite 1120 Columbus, OH 43215	Challenge grant	$30,000 00
Ohio Foundation of Independent Colleges, Inc 21 East State Street, Suite 1110 Columbus, OH 43215-4228	Non-educational grant to an independent school's organization	$2,000 00
Parents Advancing Choice in Education 6450 Sand Lake Road, Suite 100 Dayton, OH 45414	Grant for bringing a group of Ohio parents to Washington to observe and learn from the opening of the Supreme Court case on Cleveland's voucher program	$5,000 00
Parity 2000, Inc 23 Kimberly Circle Dayton, OH 45408	Grant for Mentoring Program (Second of three installments)	$33,000 00
Parity 2000, Inc 23 Kimberly Circle Dayton, OH 45408	Grant for Mentoring Program (Final installment)	$33,000 00
Public Agenda Foundation 6 E 39th St New York, NY 10016	Grant in support of study of the views and attitudes of parents concerning "special" education	$35,000 00

THOMAS B FORDHAM FOUNDATION
12-31-2001
STATEMENT 16 GRANTS PAID

Recipient - Name and Address	Purpose of Grant or Contribution	Amount
Public Agenda Foundation 6 E 39th St New York, NY 10016	Grant in support of study of the views and attitudes of parents concerning "special" education (Final installment)	$35,000 00
Rhea Academy 113 E Third Street Dayton, OH 45402	Emergency grant to support the continuance of academic programs focused on disadvantaged students, in aprticular those who benefit from federal Title I funding	$30,000 00
UNC Pembroke Foundation-Hammond Scholarship fund P O Box 1510 Pembroke, NC 28372	Contribution to the Linda Gail Hammond Memorial Scholarship Fund	$2,000 00
World of Wonder School 4411 Oakridge Drive Dayton, OH 45417	Grant to assist with the repair of roof	$25,000 00
Wright State University Foundation 3640 Colonel Glenn Highway Dayton, OH 45435-0001	Grant to support the Wright State-Dayton Public School reading program	$10,000 00

THOMAS B. FORDHAM FOUNDATION
12-31-01
STATEMENT 17:
FOUR LARGEST DIRECT CHARITABLE ACTIVITIES

1 **Special Education Project** Reprinted and disseminated the publication "Rethinking Special Education for a New Century", a collection of 15 papers on special education topics, and commissioned the report "When It's Your Own Child", a study that examines special education as seen by the parents who entrust their children to the system Cost estimate includes production-related and dissemination expenses

Estimated cost *$169,000*

2 **Teacher Quality Project** Supported the National Council on Teacher Quality and commissioned, edited, and published "Personnel Policy in Charter Schools" and "Autonomy and Innovation How Do Massachusetts Charter School Principals Use Their Freedom?" Cost estimate includes consulting services and production expenses

Estimated Cost *$193,000*

3 **Test Evaluation Project** An evaluation of academic standards, test, and accountability systems in the fifty states Cost estimate includes consulting services and production expenses

Estimated Cost *$50,000*

4 **Timely Reports on Education Policy** Planned, commissioned, edited, and/or released the following reports and publications (*Making it Count A Guide to High Impact Education Philanthropy, Evolution of the New American Schools From Revolution to Mainstream, Education 2001 Getting the Job Done, Selected Readings on School Reform*) Conceived, launched, and operated weekly education newsletter *The Gadfly*

Estimated Cost *$154,000*

Total: **$566,000**

THOMAS B. FORDHAM FOUNDATION
SCUDDER K-1'S
STATEMENT 18
12-31-01

Fund	Beg Capital	Cap Cont	Interest	Dividends	S/T Cap G/L	L/T Cap G/L
Scudder Contraian Value Equity	$ 7,413,048 00		$ -	$ 144,796 00	$ 26,111 00	$ 791,369 00
Scudder Emerging Markets Equity	1,396,261 00		1,733 00	23,850 00	(337,171 00)	(498,566 00)
Scudder Intern Equity Trust	3,655,300 00		9,821 00	56,858 00	(701,411 00)	(470,663 00)
Scudder Small Company Equity	3,027,045 00	750,000 00	4,050 00	7,825 00	(1,660,692 00)	-
Scudder Small Company Value Equity	4,670,869 00		-	60,468 00	38,605 00	1,088,093 00
Scudder Stock Index Invest Trust	5,382,700 00	-	-	43,602 00	-	(1,154,036 00)
	$ 25,545,223 00	$ 750,000 00	$ 15,604 00	$ 337,399 00	$ (2,634,558 00)	$ (243,803 00)

THOMAS B. FORDHAM FOUNDATION
SCUDDER K-1'S
STATEMENT 18
12-31-01

Currency Losses	Port Deductions	Foreign Taxes	Unreal G/L	Withdrawal	Ending Cap
$ 176 00	$ (2,697 00)	$ (435 00)	$ (919,235 00)	$ (7,453,133 00)	$ -
(25,432 00)	(2,706 00)	(1,862 00)	657,425 00	(1,213,532 00)	$ -
(68 00)	(3,281 00)	(7,719 00)	2,233,689 00	(4,772,526 00)	$ -
(52 00)	(428 00)	(498 00)	740,482 00	(2,867,732 00)	$ -
-	(1,143 00)	-	(903,128 00)	(4,953,764 00)	$ -
-	(294 00)	-	337,381 00	(4,609,353 00)	$ -
$ (25,376 00)	$ (10,549 00)	$ (10,514 00)	$ 2,146,614 00	$ (25,870,040 00)	$ -

THOMAS B. FORDHAM FOUNDATION
SCHEDULE OF OTHER INCOME
STATEMENT 19
12-31-01

OTHER INCOME:

Unrealized Loss on Investment	$ (1,605,078 63)
Unrealized Loss Troylon	(1,474,340 95)
Unrealized Gain Scudder Funds	2,146,614 00
Troylon Investment Income	1,675,183 39
	$ 742,377 81

THOMAS B. FORDHAM FOUNDATION
DECREASES TO FOUNDATION CAPITAL
STATEMENT 20
12-31-01

DECREASES TO FOUNDATION CAPITAL.

Built-In Gain Tax Adjustment	$ 613,888 00
Transfer out of Troylon Portfolio	(4,886,965 82)
	$(4,273,077 82)

THOMAS B. FORDHAM FOUNDATION
STATE STREET GLOBAL K-1'S
STATEMENT #21
12-31-01

Fund	Beg Capital	Cap Cont	Interest	Dividends	S/T Cap G/L	L/T Cap G/L
SSGA S & P 500 Index	$ -	$ 13,203,826 00	$ 75 00	$ 25,995 00	$ (19,086 00)	$ 11,172 00
SSGA Intermediate U S Govt Index	-	7,585,681 00	44,686 00	-	2,715 00	16,216 00
SSGA S & P Midcap Index	-	3,305,295 00	12 00	5,571 00	3,393 00	30,121 00
	$ -	$ 24,094,802 00	$ 44,773 00	$ 31,566 00	$ (12,978 00)	$ 57,509 00

THOMAS B. FORDHAM FOUNDATION
STATE STREET GLOBAL K-1'S
STATEMENT #21
12-31-01

1256 Gains	Port Deductions	Unreal G/L	Withdrawal	Ending Cap
$ 7,091 00	$ (263 00)	$ 236,638 00	$ (46,824 00)	$ 13,418,624 00
-	(218 00)	(81,293 00)	(335,681 00)	$ 7,232,106 00
8,537 00	(159 00)	211,814 00	(10,089 00)	$ 3,554,495 00
$ 15,628 00	$ (640 00)	$ 367,159 00	$ (392,594 00)	$ 24,205,225 00

Form **8868**
(December 2000)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (not automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Note Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time - Only submit original (no copies needed)

Note Form 990-T corporations *requesting an automatic 6-month extension - check this box and complete Part I only* ▶ [ ]

All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041

Type or print	Name of Exempt Organization	Employer identification number
	THOMAS B. FORDHAM FOUNDATION	31-6032844
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P O box, see instructions 1627 K STREET, NW #600	
	City, town or post office, state, and ZIP code For a foreign address, see instructions WASHINGTON, DC 20006	

Check type of return to be filed (file a separate application for each return)

[ ] Form 990	[ ] Form 990 T (corporation)	[ ] Form 4720
[ ] Form 990-BL	[ ] Form 990-T (sec 401(a) or 408(a) trust)	[ ] Form 5227
[ ] Form 990-EZ	[ ] Form 990 T (trust other than above)	[ ] Form 6069
[X] Form 990-PF	[ ] Form 1041 A	[ ] Form 8870

- If the organization does **not** have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a **Group Return,** enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the **whole** group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6-month, for **990-T corporation**) extension of time until August 15, 2002 to file the exempt organization return for the organization named above The extension is for the organization's return for
▶ [X] calendar year 2001 or
▶ [ ] tax year beginning _____ , and ending _____

2 If this tax year is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

3a If this application is for Form 990 BL, 990-PF, 990 T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions $ 9179.

b If this application is for Form 990 PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit $ 53801.

c **Balance Due** Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions $ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ La Vaughn T. Davis CPA Title ▶ Date ▶ 5-1-02

LHA **For Paperwork Reduction Act Notice, see instruction** Form **8868** (12-2000)

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